Lecture 1.2: Group actions

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The symmetric group

Definition

The group of all permutations of $\{1, \ldots, n\}$ is the symmetric group, denoted S_n .

We can concisely describe permutations in cycle notation, e.g.,

$$1 - \frac{2}{3} - \frac{3}{4} = 3$$
 as $(1 2 3 4)$.

Observation 1

Every permutation can be decomposed into a product of disjoint cycles, and disjoint cycles commute.

We usually don't write 1-cycles (fixed points). For example, in S_{10} , we can write

$$1 - 2 - 3 - 4 - 5 - 6 - 7 - 8 - 9 - 10$$
 as $(1 4 6 5) (2 3) (8 10 9)$.

By convention, we'll read cycles from right-to-left, like function composition. [*Note*. Many sources read left-to-right.]

The symmetric group

Remarks

- The inverse of the cycle (1 2 3 4) is (4 3 2 1) = (1 4 3 2).
- If σ is a k-cycle, then $|\sigma| = k$.
- If $\sigma = \sigma_1 \cdots \sigma_m$, all disjoint, then $|\sigma| = \operatorname{lcm}(|\sigma_1|, \dots, |\sigma_m|)$.
- A 2-cycle is called a transposition.
- Every cycle (and hence element of S_n) can be written as a product of transpositions:

$$(1 \ 2 \ 3 \cdots k) = (1 \ k) (1 \ k-1) \cdots (1 \ 3) (1 \ 2).$$

• We say $\sigma \in S_n$ is even if it can be written as a product of an even number of transpositions, otherwise it is odd.

It is easy to check that the following is a homomorphism:

$$f: S_n \longrightarrow \{1, -1\}, \qquad f(\sigma) = \begin{cases} 1 & \sigma \text{ even} \\ -1 & \sigma \text{ odd.} \end{cases}$$

Define the alternating group to be $A_n := \ker f$.

Proposition

If $n \ge 2$, then $[S_n : A_n] = 2$. (Equivalently, f is onto.)

The symmetric group

Exercise

Let $(a_1 a_2 \cdots a_k) \in S_n$ be a k-cycle. Then

$$\sigma(\mathsf{a}_1 \ \mathsf{a}_2 \ \cdots \ \mathsf{a}_k)\sigma^{-1} = (\sigma \mathsf{a}_1 \ \sigma \mathsf{a}_2 \ \cdots \ \sigma \mathsf{a}_k).$$

A good way to visual this is with a commutative diagram:



Note that no matter what σ is, $\sigma(12)\sigma^{-1}$ will be a transposition. (Why?)

Conjugacy and cycle type

Definition

Two elements $x, y \in G$ are conjugate if $x = gyg^{-1}$ for some $g \in G$.

It is easy to show that conjugacy is an equivalence relation. The equivalence class containing $x \in G$ is called its conjugacy class, denoted $cl_G(x)$.

Say that elements in S_n have the same cycle type if when written as a product of disjoint cycles, there are the same number of length-k cycles for each k.

We can write the cycle type of a permutation $\sigma \in S_n$ as a list c_1, c_2, \ldots, c_n , where c_i is the number of cycles of length *i* in σ .

Here is an example of some elements in S_9 and their cycle types.

- (18) (5) (23) (4967) has cycle type 1,2,0,1.
- (1 8 4 2 3 4 9 6 7) has cycle type 0,0,0,0,0,0,0,0,1.
- *id* = (1)(2)(3)(4)(5)(6)(7)(8)(9) has cycle type 9.

Proosition

Two elements $g, h \in S_n$ are conjugate if and only if they have the same cycle type.

As a corollary, $Z(S_n) = 1$ for $n \ge 3$.

Group actions

Intuitively, a group action occurs when a group G naturally permutes a set S of objects.

This is best motivated with an example. Consider the size-7 set consisting of the following "binary squares."

The group $D_4 = \langle \mathbf{r}, \mathbf{f} \rangle$ "acts on S" as follows:



A "group switchboard"

Suppose we have a "switchboard" for G, with every element $g \in G$ having a "button."

If $a \in G$, then pressing the *a*-button rearranges the objects in our set *S*. In fact, it is a permutation of *S*; call it $\phi(a)$.

If $b \in G$, then pressing the *b*-button rearranges the objects in *S* a different way. Call this permutation $\phi(b)$.

The element $ab \in G$ also has a button. We require that pressing the *ab*-button yields the same result as pressing the *a*-button, followed by the *b*-button. That is,

$$\phi(ab) = \phi(a)\phi(b)$$
, for all $a, b \in G$.

Let Perm(S) be the group of permutations of S. Thus, if |S| = n, then $Perm(S) \cong S_n$.

Definition

A group G acts on a set S if there is a homomorphism $\phi: G \to \text{Perm}(S)$.

A "group switchboard"

Returning to our binary square example, pressing the r-button and f-button permutes the set S as follows:



Observe how these permutations are encoded in the action diagram:



Left actions vs. right actions (an annoyance we can deal with)

As we've defined group actions, "pressing the a-button followed by the b-button should be the same as pressing the ab-button."

However, sometimes it has to be the same as "pressing the ba-button."

This is best seen by an example. Suppose our action is conjugation:



Some books forgo our " ϕ -notation" and use the following notation to distinguish left vs. right group actions:

$$g.(h.s) = (gh).s$$
, $(s.g).h = s.(gh)$.

We'll usually keep the ϕ , and write $\phi(g)\phi(h)s = \phi(gh)s$ and $s.\phi(g)\phi(h) = s.\phi(gh)$. As with groups, the "dot" will be optional.

Left actions vs. right actions (an annoyance we can deal with)

Alternative definition (other textbooks)

A right group action is a mapping

$$G \times S \longrightarrow S$$
, $(a, s) \longmapsto s.a$

such that

- s.(ab) = (s.a).b, for all $a, b \in G$ and $s \in S$
- s.1 = s, for all $s \in S$.

A left group action can be defined similarly.

Pretty much all of the theorems for left actions hold for right actions.

Usually if there is a left action, there is a related right action. We will usually use right actions, and we will write

$s.\phi(g)$

for "the element of S that the permutation $\phi(g)$ sends s to," i.e., where pressing the g-button sends s.

If we have a left action, we'll write $\phi(g).s$.

Cayley diagrams as action diagrams

Every Cayley diagram can be thought of as the action diagram of a particular (right) group action.

For example, consider the group $G = D_4 = \langle r, f \rangle$ acting on itself. That is, $S = D_4 = \{1, r, r^2, r^3, f, rf, r^2f, r^3f\}.$

Suppose that pressing the g-button on our "group switchboard" multiplies every element on the right by g.

Here is the action diagram:



We say that "G acts on itself by right-multiplication."

Orbits, stabilizers, and fixed points

Suppose G acts on a set S. Pick a configuration $s \in S$. We can ask two questions about it:

- (i) What other states (in S) are reachable from s? (We call this the orbit of s.)
- (ii) What group elements (in G) fix s? (We call this the stabilizer of s.)

Definition

Suppose that G acts on a set S (on the right) via $\phi: G \to \text{Perm}(S)$.

(i) The orbit of $s \in S$ is the set

$$\operatorname{Orb}(s) = \{s.\phi(g) \mid g \in G\}.$$

(ii) The stabilizer of s in G is

$$\mathsf{Stab}(s) = \{g \in G \mid s.\phi(g) = s\}.$$

(iii) The fixed points of the action are the orbits of size 1:

$$\mathsf{Fix}(\phi) = \{s \in S \mid s.\phi(g) = s \text{ for all } g \in G\}.$$

Note that the orbits of ϕ are the connected components in the action diagram.

Orbits, stabilizers, and fixed points

Let's revisit our running example:



The orbits are the 3 connected components. There is only one fixed point of ϕ . The stabilizers are:

$$\begin{aligned} \operatorname{Stab}\left(\begin{array}{c} 0 & 0\\ 0 & 0 \end{array}\right) &= D_4, \qquad \operatorname{Stab}\left(\begin{array}{c} 0 & 1\\ 1 & 0 \end{array}\right) &= \{1, r^2, rf, r^3f\}, \qquad \operatorname{Stab}\left(\begin{array}{c} 0 & 0\\ 1 & 1 \end{array}\right) &= \{1, f\}, \\ \operatorname{Stab}\left(\begin{array}{c} 1 & 0\\ 0 & 1 \end{array}\right) &= \{1, r^2, rf, r^3f\}, \qquad \operatorname{Stab}\left(\begin{array}{c} 1 & 0\\ 1 & 0 \end{array}\right) &= \{1, r^2f\}, \\ \operatorname{Stab}\left(\begin{array}{c} 1 & 0\\ 0 & 0 \end{array}\right) &= \{1, r^2f\}, \\ \operatorname{Stab}\left(\begin{array}{c} 0 & 1\\ 0 & 0 \end{array}\right) &= \{1, r^2f\}, \end{aligned}$$

Observations?

Orbits and stabilizers

Proposition

For any $s \in S$, the set Stab(s) is a subgroup of G.

Proof (outline)

To show Stab(s) is a group, we need to show three things:

- (i) Contains the identity. That is, $s.\phi(1) = s$.
- (ii) Inverses exist. That is, if $s.\phi(g) = s$, then $s.\phi(g^{-1}) = s$.
- (iii) Closure. That is, if $s.\phi(g) = s$ and $s.\phi(h) = s$, then $s.\phi(gh) = s$.

You'll do this on the homework.

Remark

The kernel of the action ϕ is the set of all group elements that fix everything in S:

$$\mathsf{Ker}\,\phi=\{g\in G\mid \phi(g)=1\}=\{g\in G\mid s.\phi(g)=s\;\;\mathsf{for\;all}\;s\in S\}\,.$$

Notice that

$$\operatorname{\mathsf{Ker}} \phi = \bigcap_{s \in S} \operatorname{\mathsf{Stab}}(s) \,.$$

The Orbit-Stabilizer Theorem

The following result is another one of the central results of group theory.

Orbit-Stabilizer theorem

For any group action $\phi: G \to \operatorname{Perm}(S)$, and any $s \in S$,

 $|\operatorname{Orb}(s)| \cdot |\operatorname{Stab}(s)| = |G|$.

Proof

Since Stab(s) < G, Lagrange's theorem tells us that

 $\underbrace{[G: \operatorname{Stab}(s)]}_{[G: \operatorname{Stab}(s)]} \cdot \underbrace{|\operatorname{Stab}(s)|}_{[G: \operatorname{Stab}(s)]} = |G|.$

number of cosets size of subgroup

Thus, it suffices to show that |Orb(s)| = [G: Stab(s)].

<u>Goal</u>: Exhibit a bijection between elements of Orb(s), and right cosets of Stab(s).

That is, two elements in G send s to the same place iff they're in the same coset.

The Orbit-Stabilizer Theorem: $|\operatorname{Orb}(s)| \cdot |\operatorname{Stab}(s)| = |G|$

Proof (cont.)

Let's look at our previous example to get some intuition for why this should be true. We are seeking a bijection between Orb(s), and the right cosets of Stab(s). That is, two elements in *G* send *s* to the same place iff they're in the same coset.



The Orbit-Stabilizer Theorem: $|\operatorname{Orb}(s)| \cdot |\operatorname{Stab}(s)| = |G|$

Proof (cont.)

Throughout, let H = Stab(s).

" \Rightarrow " If two elements send s to the same place, then they are in the same coset.

Suppose $g, k \in G$ both send s to the same element of S. This means:

$$s.\phi(g) = s.\phi(k) \implies s.\phi(g)\phi(k)^{-1} = s$$

$$\implies s.\phi(g)\phi(k^{-1}) = s$$

$$\implies s.\phi(gk^{-1}) = s \qquad (i.e., gk^{-1} \text{ stabilizes } s)$$

$$\implies gk^{-1} \in H \qquad (\text{recall that } H = \text{Stab}(s))$$

$$\implies Hgk^{-1} = H$$

$$\implies Hg = Hk$$

"*\(\eq \)*" If two elements are in the same coset, then they send s to the same place.

Take two elements $g, k \in G$ in the same right coset of H. This means Hg = Hk.

This is the last line of the proof of the forward direction, above. We can change each \implies into \iff , and thus conclude that $s.\phi(g) = s.\phi(k)$.

If we have instead, a left group action, the proof carries through but using left cosets.

Groups acting on elements, subgroups, and cosets

It is frequently of interest to analyze the action of a group G on its elements, subgroups, or cosets of some fixed $H \leq G$.

Sometimes, the orbits and stabilizers of these actions are actually familiar algebraic objects.

Also, sometimes a deep theorem has a slick proof via a clever group action.

For example, we will see how Cayley's theorem (every group G is isomorphic to a group of permutations) follows immediately once we look at the correct action.

Here are common examples of group actions:

- *G* acts on itself by right-multiplication (or left-multiplication).
- *G* acts on itself by conjugation.
- G acts on its subgroups by conjugation.
- G acts on the right-cosets of a fixed subgroup $H \leq G$ by right-multiplication.

For each of these, we'll analyze the orbits, stabilizers, and fixed points.

Groups acting on themselves by right-multiplication

We've seen how groups act on themselves by right-multiplication. While this action is boring (any Cayley diagram is an action diagram!), it leads to a slick proof of Cayley's theorem:

Every group is isomorphic to a group of permutations.

Cayley's theorem

If |G| = n, then there is an embedding $G \hookrightarrow S_n$.

Proof.

The group G acts on itself (that is, S = G) by right-multiplication:

 $\phi \colon \mathcal{G} \longrightarrow \operatorname{\mathsf{Perm}}(\mathcal{S}) \cong \mathcal{S}_n \,, \qquad \phi(g) = ext{the permutation that sends each } x \mapsto xg.$

There is only one orbit: G = S. The stabilizer of any $x \in G$ is just the identity element:

$$Stab(x) = \{g \in G \mid xg = x\} = \{1\}.$$

Therefore, the kernel of this action is $\text{Ker } \phi = \bigcap_{x \in G} \text{Stab}(x) = \{1\}.$

Since Ker $\phi = \{1\}$, the homomorphism ϕ is 1–1.

Groups acting on themselves by conjugation

Another way a group G can act on itself (that is, S = G) is by conjugation:

 $\phi \colon \mathcal{G} \longrightarrow \mathsf{Perm}(\mathcal{S}) \,, \qquad \phi(g) = ext{the permutation that sends each } x \mapsto g^{-1} x g.$

• The orbit of $x \in G$ is its conjugacy class:

$$Orb(x) = \{x.\phi(g) \mid g \in G\} = \{g^{-1}xg \mid g \in G\} = cl_G(x).$$

The stabilizer of x is the set of elements that commute with x; called its centralizer:

$$Stab(x) = \{g \in G \mid g^{-1}xg = x\} = \{g \in G \mid xg = gx\} := C_G(x)$$

• The fixed points of ϕ are precisely those in the center of G:

$$\mathsf{Fix}(\phi) = \{x \in G \mid g^{-1}xg = x \text{ for all } g \in G\} = Z(G).$$

By the Orbit-Stabilizer theorem, $|G| = |\operatorname{Orb}(x)| \cdot |\operatorname{Stab}(x)| = |\operatorname{cl}_G(x)| \cdot |C_G(x)|$. Thus, we immediately get the following new result about conjugacy classes:

Theorem

For any $x \in G$, the size of the conjugacy class $cl_G(x)$ divides the size of G.

The Class Equation

For any finite group G,

$$|G| = |Z(G)| + \sum |\operatorname{cl}_G(x_i)|$$

where the sum is taken over distinct conjugacy classes of size greater than 1.

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Groups acting on themselves by conjugation

As an example, consider the action of $G = D_6$ on itself by conjugation.

The orbits of the action are the conjugacy classes:

The fixed points of ϕ are the size-1 conjugacy classes. These are the elements in the center: $Z(D_6) = \{1\} \cup \{r^3\} = \langle r^3 \rangle.$

By the Orbit-Stabilizer theorem:

$$|\mathsf{Stab}(x)| = \frac{|D_6|}{|\mathsf{Orb}(x)|} = \frac{12}{|\mathsf{cl}_G(x)|}.$$

The stabilizer subgroups are as follows:

• Stab(e) = Stab(
$$r^3$$
) = D_6 ,
• Stab(r) = Stab(r^2) = Stab(r^4) = Stab(r^5) = $\langle r \rangle = C_6$,
• Stab(f) = { $e, r^3, f, r^3 f$ } = $\langle r^3, f \rangle$,
• Stab(rf) = { $e, r^3, rf, r^4 f$ } = $\langle r^3, rf \rangle$,
Stab(rf) = { $e, r^3, rf, r^4 f$ } = $\langle r^3, rf \rangle$,

Stab
$$(r^i f) = \{e, r^3, r^i f, r^i f\} = \langle r^3, r^i f \rangle.$$

Groups acting on subgroups by conjugation

Let $G = D_3$, and let S be the set of proper subgroups of G:

$$S = \{ \langle 1 \rangle, \langle r \rangle, \langle f \rangle, \langle rf \rangle, \langle r^2 f \rangle \}.$$

There is a right group action of $D_3 = \langle \mathbf{r}, \mathbf{f} \rangle$ on S by conjugation:

 $au \colon D_3 \longrightarrow \mathsf{Perm}(S)\,, \qquad au(g) = ext{the permutation that sends each H to g^{-1}Hg}.$



Groups acting on subgroups by conjugation

More generally, any group G acts on its set S of subgroups by conjugation:

 $\phi \colon \mathcal{G} \longrightarrow \mathsf{Perm}(\mathcal{S}), \qquad \phi(g) = \mathsf{the permutation that sends each } H \ \mathsf{to} \ g^{-1} Hg.$

This is a right action, but there is an associated left action: $H \mapsto gHg^{-1}$.

Let $H \leq G$ be an element of S.

■ The orbit of *H* consists of all conjugate subgroups:

$$\operatorname{Orb}(H) = \{g^{-1}Hg \mid g \in G\}.$$

■ The stabilizer of *H* is the normalizer of *H* in *G*:

$$Stab(H) = \{g \in G \mid g^{-1}Hg = H\} = N_G(H).$$

• The fixed points of ϕ are precisely the normal subgroups of G:

$$\mathsf{Fix}(\phi) = \{ H \le G \mid g^{-1}Hg = H \text{ for all } g \in G \}.$$

• The kernel of this action is G iff every subgroup of G is normal. In this case, ϕ is the trivial homomorphism: pressing the g-button fixes (i.e., normalizes) every subgroup.

Groups acting on cosets of H by right-multiplication

Fix a subgroup $H \leq G$. Then G acts on its **right cosets** by **right-multiplication**:

 $\phi \colon \mathcal{G} \longrightarrow \mathsf{Perm}(\mathcal{S})\,, \qquad \phi(g) = \mathsf{the \ permutation \ that \ sends \ each \ \mathit{Hx} \ to \ \mathit{Hxg}.}$

Let H_X be an element of S = G/H (the right cosets of H).

There is only one orbit. For example, given two cosets Hx and Hy,

$$\phi(x^{-1}y)$$
 sends $Hx \mapsto Hx(x^{-1}y) = Hy$.

• The stabilizer of Hx is the conjugate subgroup $x^{-1}Hx$:

$$Stab(Hx) = \{g \in G \mid Hxg = Hx\} = \{g \in G \mid Hxgx^{-1} = H\} = x^{-1}Hx$$
.

- Assuming $H \neq G$, there are no fixed points of ϕ . The only orbit has size [G : H] > 1.
- The kernel of this action is the intersection of all conjugate subgroups of *H*:

$$\operatorname{Ker} \phi = \bigcap_{x \in G} x^{-1} H x$$

Notice that $\langle 1 \rangle \leq \operatorname{Ker} \phi \leq H$, and $\operatorname{Ker} \phi = H$ iff $H \triangleleft G$.

Fixed points of group actions

Recall the subtle difference between fixed points and stabilizers:

- The fixed points of an action $\phi: G \to \text{Perm}(S)$ are the elements of S fixed by every $g \in G$.
- The stabilizer of an element $s \in S$ is the set of elements of G that fix s.

Lemma

If a group G of prime order p acts on a set S via $\phi: G \to \text{Perm}(S)$, then

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|\operatorname{Fix}(\phi)| \equiv |S| \pmod{p}.
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Proof (sketch)



Cauchy's Theorem

Cauchy's theorem

If p is a prime number dividing |G|, then G has an element g of order p.

Proof

Let P be the set of ordered p-tuples of elements from G whose product is 1, i.e.,

$$(x_1, x_2, \ldots, x_p) \in P$$
 iff $x_1 x_2 \cdots x_p = 1$.

Observe that $|P| = |G|^{p-1}$. (We can choose x_1, \ldots, x_{p-1} freely; then x_p is forced.)

The group \mathbb{Z}_p acts on *P* by cyclic shift:

$$\phi \colon \mathbb{Z}_p \longrightarrow \mathsf{Perm}(P), \qquad (x_1, x_2, \dots, x_p) \stackrel{\phi(1)}{\longmapsto} (x_2, x_3, \dots, x_p, x_1).$$

(This is because if $x_1x_2 \cdots x_p = 1$, then $x_2x_3 \cdots x_px_1 = 1$ as well.)

The elements of *P* are partitioned into orbits. By the orbit-stabilizer theorem, $|\operatorname{Orb}(s)| = [\mathbb{Z}_p : \operatorname{Stab}(s)]$, which divides $|\mathbb{Z}_p| = p$. Thus, $|\operatorname{Orb}(s)| = 1$ or *p*.

Observe that the only way that an orbit of $(x_1, x_2, ..., x_p)$ could have size 1 is if $x_1 = x_2 = \cdots = x_p$.

Cauchy's Theorem

Proof (cont.)

Clearly, $(1, \ldots, 1) \in P$, and the orbit containing it has size 1.

Excluding (1, ..., 1), there are $|G|^{p-1} - 1$ other elements in P, and these are partitioned into orbits of size 1 or p.

Since $p \nmid |G|^{p-1} - 1$, there must be some other orbit of size 1.

Thus, there is some $(x, \ldots, x) \in P$, with $x \neq 1$ such that $x^p = 1$.

Corollary

If p is a prime number dividing |G|, then G has a subgroup of order p.

Note that just by using the theory of group actions, and the orbit-stabilzer theorem, we have already proven:

- Cayley's theorem: Every group G is isomorphic to a group of permutations.
- The size of a conjugacy class divides the size of *G*.
- Cauchy's theorem: If p divides |G|, then G has an element of order p.

Application of group actions: Classification of groups of order 6

By Cauchy's theorem, every group of order 6 must have an element a of order 2, and an element b of order 3.

Clearly, $G = \langle a, b \rangle$ for two such elements. Thus, G must have a Cayley diagram that looks like the following:



It is now easy to see that up to isomorphism, there are only 2 groups of order 6:



Application of group actions: Conjugacy in S_n

A group G is simple if its only normal subgroups are 1 and G.

Proposition (proofs will be done on the board)

- 1. If $n \ge 5$, then all 3-cycles are conjugate in A_n .
- 2. If $n \ge 3$, then A_n is generated by 3-cycles.
- 3. If $n \neq 4$, then A_n is simple.

The following Cayley diagram for A_4 shows why it is not simple.

a = (1 2 3)	x = (1 2)(3 4)
b = (1 3 4)	y = (1 3)(2 4)
c = (1 4 2)	z = (1 4)(2 3)

$$d = (2 4 3)$$

