Section 2.1: Rings and ideals

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Math 8510, Abstract Algebra I

What is a ring?

Definition

A ring is an additive (abelian) group R with an additional binary operation (multiplication), satisfying the distributive law:

$$x(y+z) = xy + xz$$
 and $(y+z)x = yx + zx \quad \forall x, y, z \in R$.

Remarks

- There need not be multiplicative inverses.
- Multiplication need not be commutative (it may happen that $xy \neq yx$).

A few more terms

If xy = yx for all $x, y \in R$, then R is commutative.

If R has a multiplicative identity $1=1_R\neq 0$, we say that "R has identity" or "unity", or "R is a ring with 1."

A subring of R is a subset $S \subseteq R$ that is also a ring.

What is a ring?

Examples

- 1. $\mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R} \subset \mathbb{C}$ are all commutative rings with 1.
- 2. \mathbb{Z}_n is a commutative ring with 1.
- 3. For any ring R with 1, the set $M_n(R)$ of $n \times n$ matrices over R is a ring. It has identity $1_{M_n(R)} = I_n$ iff R has 1.
- 4. For any ring R, the set of functions $F = \{f : R \to R\}$ is a ring by defining

$$(f+g)(r) = f(r) + g(r),$$
 $(fg)(r) = f(r)g(r).$

- 5. The set $S = 2\mathbb{Z}$ is a subring of \mathbb{Z} but it does *not* have 1.
- 6. $S = \left\{ \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix} : a \in \mathbb{R} \right\}$ is a subring of $R = M_2(\mathbb{R})$. However, note that

$$\mathbf{1}_{R} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \qquad \text{but} \qquad \mathbf{1}_{S} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}.$$

7. If R is a ring and x a variable, then the set

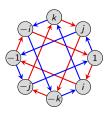
$$R[x] = \{a_n x^n + \cdots + a_1 x + a_0 \mid a_i \in R\}$$

is called the polynomial ring over R.

Another example: the quaternions

Recall the (unit) quaternion group:

$$Q_8 = \langle i, j, k \mid i^2 = j^2 = k^2 = -1, \ ij = k \rangle.$$



Allowing addition makes them into a ring \mathbb{H} , called the quaternions, or Hamiltonians:

$$\mathbb{H} = \{a + bi + cj + dk \mid a, b, c, d \in \mathbb{R}\}.$$

The set \mathbb{H} is isomorphic to a subring of $M_4(\mathbb{R})$, the real-valued 4×4 matrices:

$$\mathbb{H} = \left\{ \begin{bmatrix} a & -b & -c & -d \\ b & a & -d & c \\ c & d & a & -b \\ d & -c & b & a \end{bmatrix} : a, b, c, d \in \mathbb{R} \right\} \subseteq M_4(\mathbb{R}).$$

Formally, we have an embedding $\phi \colon \mathbb{H} \hookrightarrow M_4(\mathbb{R})$ where

$$\phi(i) = \begin{bmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{bmatrix}, \quad \phi(j) = \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}, \quad \phi(k) = \begin{bmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}.$$

We say that \mathbb{H} is represented by a set of matrices.

Units and zero divisors

Definition

Let R be a ring with 1. A unit is any $x \in R$ that has a multiplicative inverse. Let U(R) be the set (a multiplicative group) of units of R.

An element $x \in R$ is a left zero divisor if xy = 0 for some $y \neq 0$. (Right zero divisors are defined analogously.)

Examples

- 1. Let $R = \mathbb{Z}$. The units are $U(R) = \{-1, 1\}$. There are no (nonzero) zero divisors.
- 2. Let $R=\mathbb{Z}_{10}.$ Then 7 is a unit (and $7^{-1}=3$) because $7\cdot 3=1.$ However, 2 is not a unit.
- 3. Let $R = \mathbb{Z}_n$. A nonzero $k \in \mathbb{Z}_n$ is a unit if gcd(n, k) = 1, and a zero divisor if $gcd(n, k) \geq 2$.
- 4. The ring $R = M_2(\mathbb{R})$ has zero divisors, such as:

$$\begin{bmatrix} 1 & -2 \\ -2 & 4 \end{bmatrix} \begin{bmatrix} 6 & 2 \\ 3 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

The groups of units of $M_2(\mathbb{R})$ are the invertible matrices.

Group rings

Let R be a commutative ring (usually, \mathbb{Z} , \mathbb{R} , or \mathbb{C}) and G a finite (multiplicative) group. We can define the group ring RG as

$$RG := \left\{ a_1g_1 + \cdots + a_ng_n \mid a_i \in R, \ g_i \in G \right\},\,$$

where multiplication is defined in the "obvious" way.

For example, let $R = \mathbb{Z}$ and $G = D_4 = \langle r, f \mid r^4 = f^2 = rfrf = 1 \rangle$, and consider the elements $x = r + r^2 - 3f$ and $y = -5r^2 + rf$ in $\mathbb{Z}D_4$. Their sum is

$$x + y = r - 4r^2 - 3f + rf,$$

and their product is

$$xy = (r + r^2 - 3f)(-5r^2 + rf) = r(-5r^2 + rf) + r^2(-5r^2 + rf) - 3f(-5r^2 + rf)$$

= $-5r^3 + r^2f - 5r^4 + r^3f + 15fr^2 - 3frf = -5 - 8r^3 + 16r^2f + r^3f$.

Remarks

- The (real) Hamiltonians \mathbb{H} is *not* the same ring as $\mathbb{R}Q_8$.
- If $g \in G$ has finite order |g| = k > 1, then RG always has zero divisors:

$$(1-g)(1+g+\cdots+g^{k-1})=1-g^k=1-1=0.$$

■ RG contains a subring isomorphic to R, and the group of units U(RG) contains a subgroup isomorphic to G.

Types of rings

Definition

If all nonzero elements of R have a multiplicative inverse, then R is a division ring. A commutative division ring is a field.

An integral domain is a commutative ring with 1 and with no (nonzero) zero divisors. (Think: "field without inverses".)

We have the following containments:. Moreover:

 $\mathsf{fields} \subsetneq \mathsf{division} \ \mathsf{rings}$

 $\mathsf{fields} \subsetneq \mathsf{integral} \; \mathsf{domains} \subsetneq \mathsf{all} \; \mathsf{rings}$

Examples

- $\blacksquare \mathbb{Z}_p$ is a field for p prime.
- Rings that are not integral domains: \mathbb{Z}_n (composite n), $2\mathbb{Z}$, $M_n(\mathbb{R})$, $\mathbb{Z} \times \mathbb{Z}$, \mathbb{H} .
- Integral domains that are not fields (or even division rings): \mathbb{Z} , $\mathbb{Z}[x]$, $\mathbb{R}[x]$, $\mathbb{R}[[x]]$.
- Division ring but not a field: ℍ.

Cancellation

When doing basic algebra, we often take for granted basic properties such as cancellation: $ax = ay \implies x = y$. However, this need not hold in all rings!

Examples where cancellation fails

- In \mathbb{Z}_6 , note that $2 = 2 \cdot 1 = 2 \cdot 4$, but $1 \neq 4$.
- In $M_2(\mathbb{R})$, note that $\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 4 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 2 \\ 1 & 0 \end{bmatrix}$.

However, everything works fine as long as there aren't any (nonzero) zero divisors.

Proposition

Let R be an integral domain and $a \neq 0$. If ax = ay for some $x, y \in R$, then x = y.

Proof

If
$$ax = ay$$
, then $ax - ay = a(x - y) = 0$.

Since $a \neq 0$ and R has no (nonzero) zero divisors, then x - y = 0.

Finite integral domains

Lemma

If R is an integral domain and $0 \neq a \in R$ and $k \in \mathbb{N}$, then $a^k \neq 0$.

Theorem

Every finite integral domain is a field.

Proof

Suppose R is a finite integral domain and $0 \neq a \in R$. It suffices to show that a has a multiplicative inverse.

Consider the infinite sequence a, a^2, a^3, a^4, \ldots , which must repeat.

Find i > j with $a^i = a^j$, which means that

$$0 = a^{i} - a^{j} = a^{j} (a^{i-j} - 1).$$

Since R is an integral domain and $a^{j} \neq 0$, then $a^{i-j} = 1$.

Thus,
$$a \cdot a^{i-j-1} = 1$$
.

Ideals

In the theory of groups, we can quotient out by a subgroup if and only if it is a normal subgroup. The analogue of this for rings are (two-sided) ideals.

Definition

A subring $I \subseteq R$ is a left ideal if

$$rx \in I$$
 for all $r \in R$ and $x \in I$.

Right ideals, and two-sided ideals are defined similarly.

If R is commutative, then all left (or right) ideals are two-sided.

We use the term ideal and two-sided ideal synonymously, and write $l \leq R$.

Examples

- \blacksquare $n\mathbb{Z} \subseteq \mathbb{Z}$.
- If $R = M_2(\mathbb{R})$, then $I = \left\{ \begin{bmatrix} a & 0 \\ c & 0 \end{bmatrix} : a, c \in \mathbb{R} \right\}$ is a left, but *not* a right ideal of R.
- The set $\operatorname{Sym}_n(\mathbb{R})$ of symmetric $n \times n$ matrices is a subring of $M_n(\mathbb{R})$, but *not* an ideal.

Ideals

Remark

If an ideal I of R contains a unit u, then I = R.

Proof

Suppose $u \in I$, and take an arbitrary $r \in R$.

Then $(ru^{-1})u \in I$, and so $r1 = r \in I$. Therefore, I = R.

Let's compare the concept of a normal subgroup to that of an ideal:

normal subgroups are characterized by being invariant under conjugation:

$$H \leq G$$
 is normal iff $ghg^{-1} \in H$ for all $g \in G$, $h \in H$.

(left) ideals of rings are characterized by being invariant under (left) multiplication:

 $I \subseteq R$ is a (left) ideal iff $ri \in I$ for all $r \in R$, $i \in I$.

Ideals generated by sets

Definition

The left ideal generated by a set $X \subset R$ is defined as:

$$\langle X \rangle := \bigcap \{ I : I \text{ is a left ideal s.t. } X \subseteq I \subseteq R \}.$$

This is the smallest left ideal containing X.

There are analogous definitions by replacing "left" with "right" or "two-sided".

Recall the two ways to define the subgroup $\langle X \rangle$ generated by a subset $X \subseteq G$:

- "Bottom up": As the set of all finite products of elements in X;
- "Top down": As the intersection of all subgroups containing X.

Proposition

Let R be a ring with unity. The (left, right, two-sided) ideal generated by $X \subseteq R$ is:

- Left: $\{r_1x_1 + \cdots + r_nx_n : n \in \mathbb{N}, r_i \in R, x_i \in X\}$,
- Right: $\{x_1r_1 + \cdots + x_nr_n : n \in \mathbb{N}, r_i \in R, x_i \in X\}$,
- Two-sided: $\{r_1x_1s_1 + \cdots + r_nx_ns_n : n \in \mathbb{N}, r_i, s_i \in R, x_i \in X\}$.

Ideals and quotients

Since an ideal I of R is an additive subgroup (and hence normal), then:

- $\blacksquare R/I = \{x + I \mid x \in R\}$ is the set of cosets of I in R;
- \blacksquare R/I is a quotient group; with the binary operation (addition) defined as

$$(x+1) + (y+1) := x + y + I.$$

It turns out that if I is also a two-sided ideal, then we can make R/I into a ring.

Proposition

If $I \subseteq R$ is a (two-sided) ideal, then R/I is a ring (called a quotient ring), where multiplication is defined by

$$(x+I)(y+I) := xy + I.$$

Proof

We need to show this is well-defined. Suppose x+I=r+I and y+I=s+I. This means that $x-r\in I$ and $y-s\in I$.

It suffices to show that xy + I = rs + I, or equivalently, $xy - rs \in I$:

$$xy - rs = xy - ry + ry - rs = (x - r)y + r(y - s) \in I$$
.

Finite fields

Recall that \mathbb{Z}_p is a field if p is prime, and that finite integral domains are fields. But what do these "other" finite fields look like?

Let $R = \mathbb{Z}_2[x]$ be the polynomial ring over the field \mathbb{Z}_2 .

The polynomial $f(x) = x^2 + x + 1$ is irreducible over \mathbb{Z}_2 because it does not have a root. (Note that $f(0) = f(1) = 1 \neq 0$.)

Consider the ideal $I = \langle x^2 + x + 1 \rangle = \{(x^2 + x + 1) \cdot f(x) \mid f \in \mathbb{Z}_2[x]\}.$

In the quotient ring R/I, we have the relation $x^2 + x + 1 = 0$, or equivalently, $x^2 = -x - 1 = x + 1$

The quotient has only 4 elements:

$$0+I$$
, $1+I$, $x+I$, $(x+1)+I$.

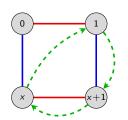
As with the quotient group (or ring) $\mathbb{Z}/n\mathbb{Z}$, we usually drop the "I", and just write

$$R/I = \mathbb{Z}_2[x]/\langle x^2 + x + 1 \rangle \cong \{0, 1, x, x + 1\}.$$

It is easy to check that this is a field.

Finite fields

Here is a Cayley diagram, and the operation tables for $R/I = \mathbb{Z}_2[x]/\langle x^2 + x + 1 \rangle$:



+	0	1	х	x+1
0	0	1	х	x+1
1	1	0	x+1	X
x	x	x+1	0	1
×+1	x+1	х	1	0

X	1	х	x+1
1	1	х	x+1
x	x	x+1	1
x+1	x+1	1	х

Theorem

There exists a finite field \mathbb{F}_q of order q, which is unique up to isomorphism, iff $q = p^n$ for some prime p. If n > 1, then this field is isomorphic to the quotient ring

$$\mathbb{Z}_p[x]/\langle f \rangle$$
,

where f is any irreducible polynomial of degree n.

Much of the error correcting techniques in coding theory are built using mathematics over $\mathbb{F}_{2^8} = \mathbb{F}_{256}$. This is what allows your CD to play despite scratches.

Motivation (spoilers!)

Many of the big ideas from group homomorphisms carry over to ring homomorphisms.

Group theory

- The quotient group G/N exists iff N is a normal subgroup.
- A homomorphism is a structure-preserving map: f(x * y) = f(x) * f(y).
- The kernel of a homomorphism is a normal subgroup: Ker $\phi \subseteq G$.
- For every normal subgroup $N \subseteq G$, there is a natural quotient homomorphism $\phi \colon G \to G/N, \ \phi(g) = gN.$
- There are four standard isomorphism theorems for groups.

Ring theory

- The quotient ring R/I exists iff I is a two-sided ideal.
- A homomorphism is a structure-preserving map: f(x + y) = f(x) + f(y) and f(xy) = f(x)f(y).
- The kernel of a homomorphism is a two-sided ideal: Ker $\phi \subseteq R$.
- For every two-sided ideal $I \subseteq R$, there is a natural quotient homomorphism $\phi \colon R \to R/I$, $\phi(r) = r + I$.
- There are four standard isomorphism theorems for rings.

Ring homomorphisms

Definition

A ring homomorphism is a function $f: R \to S$ satisfying

$$f(x+y)=f(x)+f(y)$$
 and $f(xy)=f(x)f(y)$ for all $x,y\in R$.

A ring isomorphism is a homomorphism that is bijective.

The kernel $f: R \to S$ is the set $\operatorname{Ker} f := \{x \in R : f(x) = 0\}$.

Examples

- 1. The function $\phi \colon \mathbb{Z} \to \mathbb{Z}_n$ that sends $k \mapsto k \pmod{n}$ is a ring homomorphism with $\text{Ker}(\phi) = n\mathbb{Z}$.
- 2. For a fixed real number $\alpha \in \mathbb{R}$, the "evaluation function"

$$\phi \colon \mathbb{R}[x] \longrightarrow \mathbb{R}, \qquad \phi \colon p(x) \longmapsto p(\alpha)$$

is a homomorphism. The kernel consists of all polynomials that have α as a root.

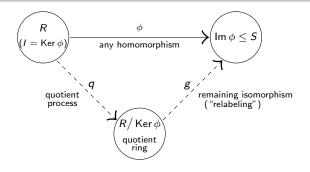
3. The following is a homomorphism, for the ideal $I = \langle x^2 + x + 1 \rangle$ in $\mathbb{Z}_2[x]$:

$$\phi: \mathbb{Z}_2[x] \longrightarrow \mathbb{Z}_2[x]/I, \qquad f(x) \longmapsto f(x) + I.$$

The isomorphism theorems for rings

Fundamental homomorphism theorem

If $\phi \colon R \to S$ is a ring homomorphism, then $\operatorname{Ker} \phi$ is an ideal and $\operatorname{Im}(\phi) \cong R/\operatorname{Ker}(\phi)$.



Proof (exercise)

The statement holds for the underlying additive group R. Thus, it remains to show that $\operatorname{Ker} \phi$ is a (two-sided) ideal, and the following map is a ring homomorphism:

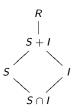
$$g: R/I \longrightarrow \operatorname{Im} \phi, \qquad g(x+I) = \phi(x).$$

The second isomorphism theorem for rings

Suppose S is a subring and I an ideal of R. Then

- (i) The sum $S + I = \{s + i \mid s \in S, i \in I\}$ is a subring of R and the intersection $S \cap I$ is an ideal of S.
- (ii) The following quotient rings are isomorphic:

$$(S+I)/I\cong S/(S\cap I).$$



Proof (sketch)

S+I is an additive subgroup, and it's closed under multiplication because

$$s_1, s_2 \in S, \ i_1, i_2 \in I \implies (s_1 + i_1)(s_2 + i_2) = \underbrace{s_1 s_2}_{\in S} + \underbrace{s_1 i_2 + i_1 s_2 + i_1 i_2}_{\in I} \in S + I.$$

Showing $S \cap I$ is an ideal of S is straightforward (exercise).

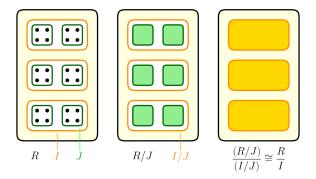
We already know that $(S+I)/I \cong S/(S \cap I)$ as additive groups.

One explicit isomorphism is $\phi \colon s + (S \cap I) \mapsto s + I$. It is easy to check that $\phi \colon 1 \mapsto 1$ and ϕ preserves products.

The third isomorphism theorem for rings

Freshman theorem

Suppose R is a ring with ideals $J\subseteq I$. Then I/J is an ideal of R/J and $(R/J)/(I/J)\cong R/I\,.$

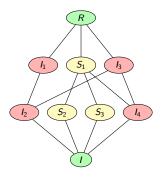


(Thanks to Zach Teitler of Boise State for the concept and graphic!)

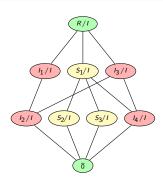
The fourth isomorphism theorem for rings

Correspondence theorem

Let I be an ideal of R. There is a bijective correspondence between subrings (& ideals) of R/I and subrings (& ideals) of R that contain I. In particular, every ideal of R/I has the form J/I, for some ideal J satisfying $I \subseteq J \subseteq R$.



subrings & ideals that contain I



subrings & ideals of R/I

Maximal ideals

Definition

An ideal I of R is maximal if $I \neq R$ and if $I \subseteq J \subseteq R$ holds for some ideal J, then J = I or J = R.

A ring R is simple if its only (two-sided) ideals are 0 and R.

Examples

- 1. If $n \neq 0$, then the ideal $M = \langle n \rangle$ of $R = \mathbb{Z}$ is maximal if and only if n is prime.
- 2. Let $R = \mathbb{Q}[x]$ be the set of all polynomials over \mathbb{Q} . The ideal M = (x) consisting of all polynomials with constant term zero is a maximal ideal.

Elements in the quotient ring $\mathbb{Q}[x]/\langle x \rangle$ have the form $f(x) + M = a_0 + M$.

3. Let $R = \mathbb{Z}_2[x]$, the polynomials over \mathbb{Z}_2 . The ideal $M = \langle x^2 + x + 1 \rangle$ is maximal, and $R/M \cong \mathbb{F}_4$, the (unique) finite field of order 4.

In all three examples above, the quotient R/M is a field.

Maximal ideals

Theorem

Let R be a commutative ring with 1. The following are equivalent for an ideal $I \subseteq R$.

- (i) I is a maximal ideal;
- (ii) R/I is simple;
- (iii) R/I is a field.

Proof

The equivalence (i) \Leftrightarrow (ii) is immediate from the Correspondence Theorem.

For (ii) \Leftrightarrow (iii), we'll show that an arbitrary ring R is simple iff R is a field.

" \Rightarrow ": Assume R is simple. Then $\langle a \rangle = R$ for any nonzero $a \in R$.

Thus, $1 \in \langle a \rangle$, so 1 = ba for some $b \in R$, so $a \in U(R)$ and R is a field. \checkmark

" \Leftarrow ": Let $I \subseteq R$ be a nonzero ideal of a field R. Take any nonzero $a \in I$.

Then $a^{-1}a \in I$, and so $1 \in I$, which means I = R. \checkmark

Prime ideals

Definition

Let R be commutative. An ideal $P \subset R$ is prime if $ab \in P$ implies either $a \in P$ or $b \in P$.

Note that $p \in \mathbb{N}$ is a prime number iff p = ab implies either a = p or b = p.

Examples

- 1. The ideal (n) of \mathbb{Z} is a prime ideal iff n is a prime number (possibly n = 0).
- 2. In the polynomial ring $\mathbb{Z}[x]$, the ideal $I = \langle 2, x \rangle$ is prime. It consists of all polynomials whose constant coefficient is even.

Theorem

An ideal $P \subseteq R$ is prime iff R/P is an integral domain.

The proof is straightforward (HW). Since fields are integral domains, the following is immediate:

Corollary

In a commutative ring, every maximal ideal is prime.

Partially ordered sets

Definition

A partial ordering (poset) on a set ${\mathcal P}$ is a binary relation that is

- (i) Reflexive: $a \le a$,
- (ii) Antisymmetric: $a \le b$ and $b \le a \Longrightarrow a = b$,
- (iii) Transitive: $a \le b \le c \implies a \le c$.

Examples

- 1. Let $\mathcal{P} = \mathbb{N}$ with the standard ordering, \leq .
- 2. $\mathcal{P} = \mathbb{N}$ where $d \leq n$ iff $d \mid n$. [Note: This is not a poset if $\mathcal{P} = \mathbb{Z}$.]
- 3. Let $\mathcal{P} \subseteq 2^{\mathcal{S}}$, with relation \subseteq .
- 4. Any acyclic directed graph describes a poset.

Definition

A linear ordering on $\mathcal C$ is a partial ordering in which any two elements are compariable, i.e., $a \leq b$ or $b \leq a$.

Zorn's lemma and the axiom of choice

Definition

- 1. A chain in a poset \mathcal{P} is a nonempty subset $\mathcal{C} \subseteq \mathcal{P}$ that is linearly ordered.
- 2. An upper bound for a chain $\mathcal C$ is an element $b \in \mathcal P$ such that $a \leq b$ for all $a \in \mathcal C$. [Note: b need not be in $\mathcal C$.]
- 3. A maximal element in $\mathcal C$ is an element $m \in \mathcal C$ such that if $a \in \mathcal C$ and $m \le a$, then a = m.

Theorem

The following are equivalent:

- 1. Axiom of choice: Every collection $X = \{S_i\}_{i \in I}$ of nonempty sets has a choice function, $f = (f_i)_{i \in I}$.
- 2. Zorn's lemma: If $\mathcal P$ is a nonempty poset in which every chain has an upper bound, then $\mathcal P$ has a maximal element.
- 3. Well-ordering principle: Every nonempty set can be well-ordered.

Consequences of the axiom of choice

- 1. The cartesian product of nonempty sets is nonempty.
- 2. Every ideal in R is contained in a maximal ideal.
- 3. Every vector space has a basis.
- 4. The product of compact spaces is compact.
- 5. Every connected graph has a spanning tree.

Proposition

If R is a ring with 1, then every ideal $I \neq R$ is contained in a maximal ideal $M \nleq R$.

Proof

Let $\mathcal{P} = \{J \leq R \mid I \subseteq J \subsetneq R\}$, ordered by inclusion.

Every chain $\mathcal C$ has a maximal element, $L_{\mathcal C}=\bigcup_{J\in\mathcal C}J$, and hence an upper bound.

By Zorn's lemma, there is some maximal element M in \mathcal{P} , which is a maximal ideal.