

Read: Lax, Chapter 7, pages 77–100.

1. This problem is about *rational canonical form*. Consider the following matrix over  $\mathbb{R}$ :

$$M = \begin{bmatrix} 0 & 0 & \cdots & 0 & -a_0 \\ 1 & 0 & \cdots & 0 & -a_1 \\ 0 & 1 & \cdots & 0 & -a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & -a_{n-1} \end{bmatrix}$$

- (a) Let  $e_1, \dots, e_n$  be the standard basis. Show that if a polynomial  $f \in \mathbb{R}[x]$  has degree less than  $n$ , then  $f(M) \neq 0$ . [*Hint*: Notice that  $Me_i = e_{i+1}$  for  $i = 1, \dots, n-1$ .]
- (b) Show that the minimal polynomial of  $M$  is

$$f(t) = t^n + a_{n-1}t^{n-1} + \cdots + a_1t + a_0.$$

- (c) Let  $X$  be a vector space over  $\mathbb{R}$  with basis  $\{x_1, x_2, x_3, x_4\}$  and let  $T : X \rightarrow X$  be a linear map such that

$$T(x_1) = x_2, \quad T(x_2) = x_3, \quad T(x_3) = x_4, \quad T(x_4) = -x_1 - 4x_2 - 6x_3 - 4x_4.$$

Find the rational and Jordan canonical forms of  $T$ . Is  $T$  diagonalizable over  $\mathbb{C}$ ? Why or why not?

2. Given a linear map  $A : X \rightarrow X$ , define  $f : X \rightarrow X$  by  $f(x, y) = x^T Ay$ .
- (a) State and prove necessary and sufficient conditions on  $A$  for  $f$  to be an inner product.
- (b) Write the inner product  $f(x, y) = 3x_1y_1 - x_1y_2 - x_2y_1 + 2x_2y_2 - x_2y_3 - x_3y_2 + 3x_3y_3$  as  $f(x, y) = x^T Ay$ .
- (c) Find an orthonormal basis  $v_1, v_2, v_3$  of  $\mathbb{R}^3$  so that with respect to this basis,  $f(z, w) = z^T Dw$  for some diagonal matrix  $D$ .
- (d) Write a formula for  $f(z, w)$  like in Part (b), but with respect to this new basis.

3. Let  $f$  and  $g$  be continuous functions on the interval  $[0, 1]$ . Prove the following inequalities.

(a)  $\left( \int_0^1 f(t)g(t) dt \right)^2 \leq \int_0^1 f(t)^2 dt \int_0^1 g(t)^2 dt$

(b)  $\left( \int_0^1 (f(t) + g(t))^2 dt \right)^{1/2} \leq \left( \int_0^1 f(t)^2 dt \right)^{1/2} + \left( \int_0^1 g(t)^2 dt \right)^{1/2}$ .

4. Prove that  $\|x\| = \sup \{(x, y) : y \in K^n \text{ with } \|y\| = 1\}$ .