

Read: Lax, Chapter 7, pages 89–100.

1. Let  $S$  be the cyclic shift mapping of  $\mathbb{C}^n$ , that is,  $S(z_1, \dots, z_n) = (z_n, z_1, \dots, z_{n-1})$ .
  - (a) Show that  $S$  is unitary.
  - (b) Determine the eigenvalues and eigenvectors of  $S$ .
  - (c) Find an orthonormal basis of  $\mathbb{C}^n$  consisting of eigenvectors of  $S$ .

2. Let  $X$  be the space of continuous complex-valued functions on  $[-1, 1]$  and define an inner product on  $X$  by

$$(f, g) = \int_{-1}^1 f(s)\overline{g(s)} ds.$$

Let  $m(s)$  be a continuous function of absolute value 1, that is,  $|m(s)| = 1$ ,  $-1 \leq s \leq 1$ . Define  $M$  to be multiplication by  $m$ :

$$(Mf)(s) = m(s)f(s).$$

Show that  $M$  is unitary.

3. Consider the quadratic form  $q(x) = 2x_1^2 + 6x_1x_2 + 2x_2^2$ .
  - (a) Write this as  $q(x) = x^T Ax$ , for some  $A$ .
  - (b) Write  $A = P^T DP$ , where  $D$  is a diagonal matrix and  $P$  is orthogonal with determinant 1.
  - (c) Change variables by letting  $z = P^T x$ . Sketch the level curve  $q(x) = 1$  in both the  $z_1z_2$ -plane and in the  $x_1x_2$ -plane.
4. Let  $A$  be a linear map of a finite-dimensional complex inner product space  $X$ .
  - (a) A matrix is *normal* if  $AA^* = A^*A$ . It is unitarily similar to a diagonal matrix if  $A = U^*DU$  for a diagonal matrix  $D$  and unitary matrix  $U$ . Show that these conditions are equivalent.
  - (b) Prove that if  $A$  is normal then it has a square-root, that is, a matrix  $B$  such that  $A = B^2$ . Is  $B$  necessarily normal? Unique?
  - (c) Suppose that  $A$  is diagonalizable. Prove that  $A$  is normal if and only if each eigenvector of  $A$  is an eigenvector of  $A^*$ .
5. Let  $\lambda \in \mathbb{C}$  be an eigenvalue of  $A: X \rightarrow X$  maximum modulus  $|\lambda|$ .
  - (a) Show that  $\|A\| \geq |\lambda|$
  - (b) Give an explicit example of an  $A$  where equality does not hold.
  - (c) Show that if  $A$  is normal, then equality holds.