

## 6. Categories, products, and coproducts

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If  $G_1, G_2$  are groups, then  $G_1 \times G_2$  is a group, where the binary operation is  $(x_1, x_2)(y_1, y_2) = (x_1 y_1, x_2 y_2)$ .

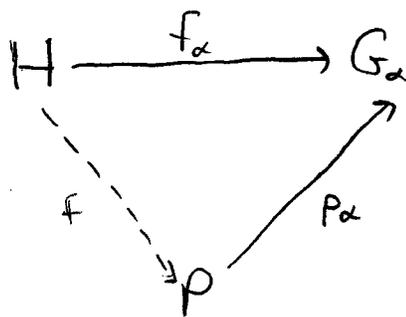
This notion can easily be extended to an arbitrary finite product  $G_1 \times G_2 \times \dots \times G_n$ , or even a countable product  $G_1 \times G_2 \times \dots$ .

But what if we have uncountably many (or more) groups?

Big idea: Everything works if we define products as (co)universal properties.

Def: If  $\{G_\alpha : \alpha \in A\}$  is a non-empty family of groups, then a product of the  $G_\alpha$ 's is a group  $P$  with a family of homomorphisms  $p_\alpha : P \rightarrow G_\alpha$ ,  $\alpha \in A$ , with the following universal property:

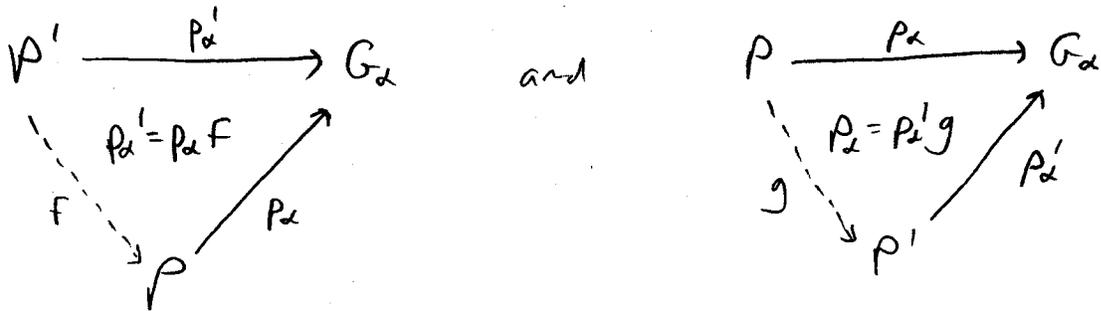
Given any group  $H$ , and homomorphisms  $f_\alpha : H \rightarrow G_\alpha$ ,  $\alpha \in A$ ,  $\exists!$   $f : H \rightarrow P$  s.t.  $p_\alpha f = f_\alpha \quad \forall \alpha \in A$ .



[2]

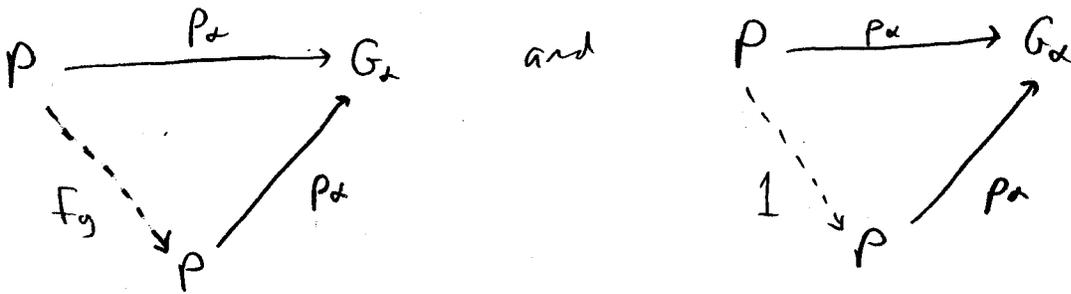
Prop 6.1: Let  $\{G_\alpha; \alpha \in A\}$  be a non-empty family of groups. If a product  $(P, \{p_\alpha\})$  exists, it is unique up to isomorphism, and each  $p_\alpha = P \rightarrow G_\alpha$  is an epi.

PF: Let  $(P', \{p'_\alpha\})$  be another product. We have



for each  $\alpha \in A$ , Thus,  $p_\alpha = p'_\alpha g = p_\alpha f g \quad \forall \alpha \in A$ .

Now, we have



thus  $f g = 1_P$  (by uniqueness). Similarly,  $g f = 1_{P'}$ , so

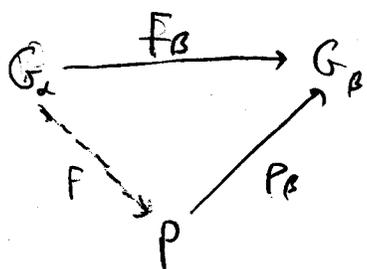
$f$  &  $g$  are inverse isomorphisms. ✓

Now, we'll show each  $p_\alpha$  is an epi.

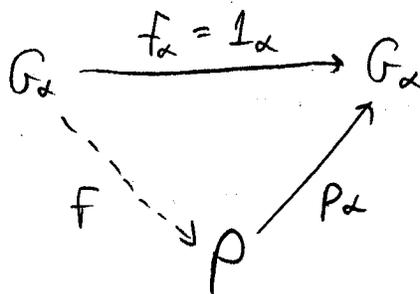
Fix  $G_\alpha$ , define  $f_\beta: G_\alpha \rightarrow G_\beta$ ,

$$f_\beta(x) = \begin{cases} 1 \in G_\beta & x \in G_\alpha \neq G_\beta & \text{(trivial)} \\ x \in G_\alpha & x \in G_\alpha = G_\beta & \text{(identity)} \end{cases}$$

Now, we have, for all  $\beta$ ,



and



Since  $1_\alpha = P_\alpha F$  and  $1_\alpha$  is an epi, so is  $P_\alpha$ .  $\square$

Thm 6.2: If  $\{G_\alpha : \alpha \in A\}$  is a nonempty family of groups, then the product of  $\{G_\alpha : \alpha \in A\}$  exists.

Pf: We'll show that the cartesian product is the product.

Let  $P = \prod \{G_\alpha : \alpha \in A\}$ . Write elts as  $(x_\alpha)_{\alpha \in A}$ .

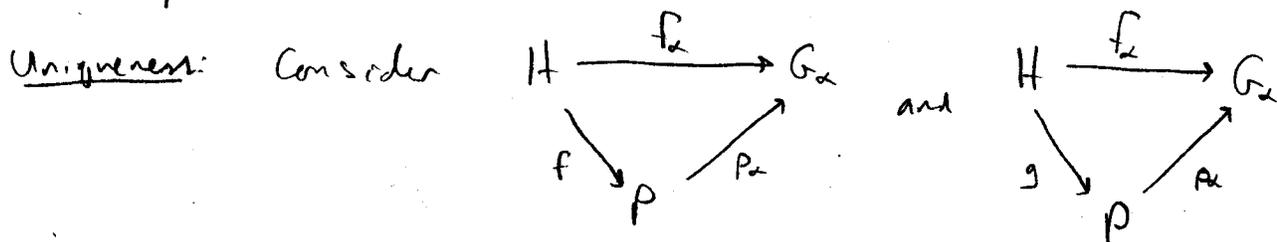
Binary operation:  $(x_\alpha)(y_\alpha) = (x_\alpha y_\alpha)$ . This is a group.

Define  $p_\alpha : P \rightarrow G_\alpha$  as the projection map  
 $(x_\alpha) \mapsto x_\alpha$ .

Suppose  $f_\alpha : H \rightarrow G_\alpha$  is a homomorphism (all  $\alpha \in A$ ).

Define  $f : H \rightarrow P$ ,  $f(h) = (f_\alpha(h))_{\alpha \in A}$ .

Check:  $p_\alpha f = f_\alpha \quad \forall \alpha \in A$ .



i.e.,  $P_\alpha f = P_\alpha g$ . Then  $f(x)_\alpha = P_\alpha f(x) = P_\alpha g(x) = g(x)_\alpha$   
 $\Rightarrow f(x) = g(x) \quad \forall x \in H$ .  $\square$

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Thus,  $f(x) = g(x) \forall x \in H$ , and so  $f$  is unique, and  $P$  is a product.  $\square$

If  $A = \{1, 2, \dots, n\}$  or  $\{1, 2, 3, \dots\}$  we write  $G_1 \times G_2 \times \dots \times G_n$  or  $G_1 \times G_2 \times G_3 \times \dots$ . This is also called the direct product

The homomorphism  $p_\alpha$  is called the projection of  $\prod G_\alpha$  on the direct factor  $G_\alpha$ .

Thm 6.3: Suppose  $G_1, G_2 \leq G$  satisfying:

(i)  $G_1, G_2 \triangleleft G$

(ii)  $G_1 \cap G_2 = 1$

(iii)  $G_1 G_2 = G$ .

then  $G \cong G_1 \times G_2$ .

More generally, if  $G_1, \dots, G_n \leq G$  satisfying:

(i)  $G_1, \dots, G_n \triangleleft G$

(ii)  $G_i \cap \langle \bigcup_{j \neq i} G_j \rangle = 1$  for each  $i$

(iii)  $G_1 G_2 \dots G_n = G$ ,

then  $G \cong G_1 \times G_2 \times \dots \times G_n$ .

PF (Sketch, for  $n=2$ ).

Since  $G_1 \cap G_2 = 1$ , each  $x = x_1 x_2$  uniquely, where  $x_i \in G_i$ .

Also,  $[x_1, x_2] = x_1^{-1} x_2^{-1} x_1 x_2 \in G_1 \cap G_2 = 1$  (by normality), thus  $x_1 x_2 = x_2 x_1$ .

Define our family of homomorphisms by  $p_i(x) = x_i$ . (check homom!)

Now, for any family of homoms  $f_j: H \rightarrow G_j$ ,

define  $f: H \rightarrow G$  by  $f(h) = f_1(h) f_2(h)$ .

Then  $p_i f = f_i$  (check!)

If  $g: H \rightarrow G$  is a homom. satisfying  $p_i g = f_i$  (for each  $i$ ), then  $p_i f = p_i g$ . Then, for any  $x \in H$ , we have

$$f(x) = p_1(f(x)) p_2(f(x)) = p_1(g(x)) p_2(g(x)) = g(x),$$

thus  $F = g$  is unique.

Since products exist and are unique, and  $G$  is a product, it follows that  $G \cong G_1 \times G_2$ .  $\square$

When the assumptions of Thm 6.3 hold, we say that  $G$  is the internal direct product of its subgrps  $G_1, \dots, G_n$ .

### Some basic category theory.

Def: A category  $\mathcal{C}$  consists of

(I) A class of objects  $Ob(\mathcal{C})$

(II) A class of morphisms  $Hom(\mathcal{C})$  between objects, with

(i) Identity morphism  $1_A: A \rightarrow A$  for all  $A \in Ob(\mathcal{C})$

(ii) Composition:  $f \in Hom_{\mathcal{C}}(A, B)$ ,  $g \in Hom_{\mathcal{C}}(B, C)$

$$\Rightarrow g \circ f \in Hom_{\mathcal{C}}(A, C),$$

(iii) Associative:  $h \circ (g \circ f) = (h \circ g) \circ f$ .

(6)

Think of a category as a directed graph

Vertices  $\leftrightarrow$  objects

Edges  $\leftrightarrow$  morphisms.

<u>Examples</u>	Set:	sets with functions
	Grp:	Groups with homomorphisms
	Ab:	Abelian groups with homomorphisms
	$\text{Vect}_k$ :	$k$ -vector spaces with $k$ -linear maps.
	Top:	Topological spaces with continuous functions.

Def: A morphism  $f \in \text{Hom}_c(A, B)$  is a

• monomorphism if  $f g_1 = f g_2 \Rightarrow g_1 = g_2$

• epimorphism if  $g_1 f = g_2 f \Rightarrow g_1 = g_2$

• isomorphism if  $\exists g \in \text{Hom}_c(B, A)$  with  $f g = 1_B$  and  $g f = 1_A$ .

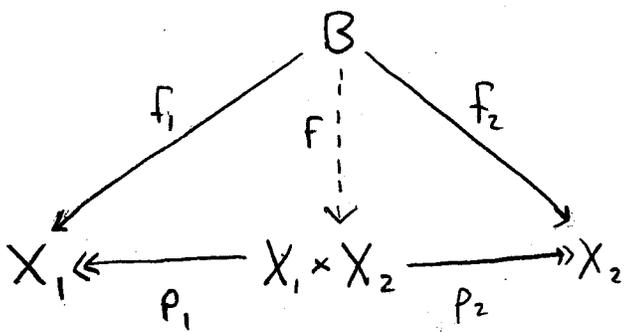
In this case, we say that  $A$  and  $B$  are equivalent.

Def: Let  $\mathcal{C}$  be a category and  $\{A_i : i \in I\}$  a family of objects of  $\mathcal{C}$ . A product for  $\{A_i : i \in I\}$  is an object  $P$  of  $\mathcal{C}$  with a family of morphisms  $\{p_i : P \rightarrow A_i \mid i \in I\}$  such that for any object  $B$  and family of morphisms  $\{f_i : B \rightarrow A_i \mid i \in I\}$ ,  $\exists!$   $f \in \text{Hom}_c(B, P)$  s.t.  $p_i f = f_i \quad \forall i \in I$ .

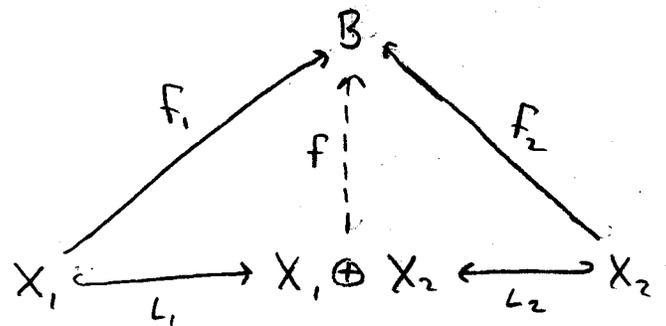
Denote this as  $P = \prod_{i \in I} A_i$

Def. A coproduct (or sum) for  $\{A_i \mid i \in I\}$  is an object  $S \in \text{Ob}(\mathcal{C})$  with a family of morphisms  $\{L_i: A_i \rightarrow S \mid i \in I\}$  such that for any object  $B \in \text{Ob}(\mathcal{C})$  and family of morphisms  $\{f_i: A_i \rightarrow B \mid i \in I\}$ ,  $\exists! F \in \text{Hom}_{\mathcal{C}}(S, B)$  such that  $F L_i = f_i \quad \forall i \in I$

Denote this by  $S = \coprod_{i \in I} X_i$ , or  $S = \bigoplus_{i \in I} X_i$ .



Product of  $X_1$  &  $X_2$



Coproduct of  $X_1$  &  $X_2$ .

Examples:

<u>Category</u>	<u>Objects</u>	<u>Morphisms</u>	<u>Product</u>	<u>Coproduct</u>
Set	Sets	Functions	Cartesian prod.	Disjoint union
Top	Top. spaces	Cont. maps	Cartesian prod.	Disjoint union
Grp	Groups	Homom.	Direct product	Free product
Ab	Abelian gps	Homom.	Direct product	Direct sum

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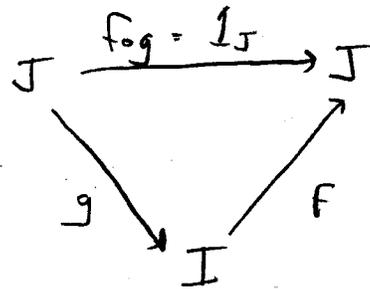
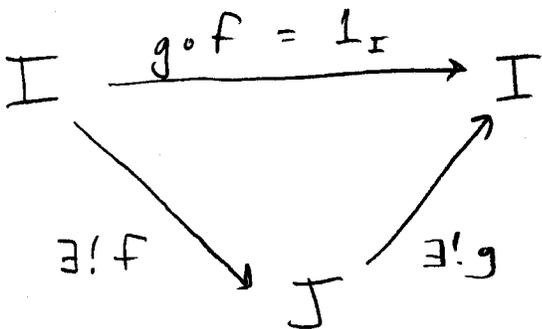
Products and coproducts are defined via universal mapping properties, i.e., by the existence of certain uniquely determined morphisms. This notion can be generalized.

- Def:
- An object  $I \in \text{Ob}(\mathcal{C})$  is universal (or initial) if for each  $C_i \in \text{Ob}(\mathcal{C})$ ,  $\exists! p_i \in \text{Hom}_{\mathcal{C}}(I, C_i)$ .
  - An object  $T \in \text{Ob}(\mathcal{C})$  is couniversal (or terminal) if for each  $C_i \in \text{Ob}(\mathcal{C})$ ,  $\exists! \iota_i \in \text{Hom}_{\mathcal{C}}(C_i, T)$ .
  - An universal and initial object is a zero object.

<u>Examples</u>	<u>Category</u>	<u>Universal (initial)</u>	<u>Couniversal (terminal)</u>
	Set	$\emptyset$	$\{x\}$ (any $x$ )
	Top	$\emptyset$	$\{x\}$
	Grp	$1$	$1$

Thm 6.4: Any two universal objects are equivalent.

Pf: (sketch) let  $I$  and  $J$  be universal.



Thm  $f \circ g = 1_J$  and  $g \circ f = 1_I \Rightarrow I \cong J$  are equivalent  $\square$

Note: Similarly, we can show that any two couniversal objects are equivalent.

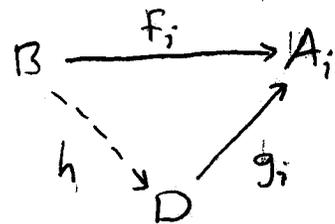
\* For suitably chosen categories (co)products are the (co)universal objects.

Example let  $\{A_i \mid i \in I\}$  be a family of objects in  $\mathcal{C}$ .

Define a new category  $\mathcal{D}$  as follows.

• Objects: Pairs  $(B, \{f_i \mid i \in I\})$  where  $f_i \in \text{Hom}_{\mathcal{C}}(B, A_i)$ .

• Morphisms: Elements  $h \in \text{Hom}_{\mathcal{C}}(B, D)$   
s.t.  $g_i \circ h = f_i \quad \forall i \in I$



Check: In this category, the couniversal (terminal) object is  $(\prod_{i \in I} A_i, \{p_i \mid i \in I\})$  (if  $\prod_{i \in I} A_i$  exists in  $\mathcal{C}$ ).

Cor: Products and coproducts are unique up to equivalence (when they exist).

Example: Category  $\text{Ab}$  (abelian groups)

The direct product (Cartesian product) of abelian groups exists, and is an abelian group, thus it is a product in  $\text{Ab}$ .

Def: The weak direct product of a family of groups  $\{G_i \mid i \in I\}$ , denoted  $\prod_{i \in I}^w G_i$  is the set of  $(x_i)_{i \in I}$  such that  $x_i = e_i$  (the identity) in  $G_i$  for all but a finite number of  $i \in I$ . If all  $G_i$ 's are abelian, we write this as  $\sum_{i \in I} G_i$  and call it the direct sum.

(10)

Thm 6.5: Let  $\{A_i : i \in I\}$  be a family of abelian groups.

Then  $\sum_{i \in I} A_i$  is a coproduct in the category of abelian groups.

PF: HW.

Note: If  $|I| < \infty$ , then the direct sum & direct product of abelian groups  $\{A_i : i \in I\}$  coincide.

Example Category Grp (groups).

Again, the product of groups is simply the direct product.

Def: Given a family of groups  $\{G_i : i \in I\}$ , let  $X = \coprod_{i \in I} G_i$ .

A word on  $X$  is any sequence  $(a_1, a_2, \dots)$  such that  $a_i \in X \cup \{1\}$  and for some index  $n$ ,  $a_i = 1 \forall i \geq n$ . A word is reduced if

(i) No  $a_i \in X_i$  is the identity (in  $G_i$ )

(ii)  $a_i \in G_j, a_{i+1} \in G_j$  are never in the same group  $G_j$ .

(iii)  $a_k = 1 \Rightarrow a_i = 1 \forall i \geq k$ .

Note: •  $(1, 1, 1, \dots)$  is reduced

• We may write a reduced word uniquely as

$$a_1 a_2 a_3 \dots a_n = (a_1, a_2, a_3, \dots, a_n, 1, 1, \dots), \quad a_i \in X.$$

Def: Let  $\prod_{i \in I}^* G_i$  (or  $G_1 * G_2 * \dots * G_n$  if  $|I| < \infty$ ) be

the set of reduced words on  $X$ . This is the free product of  $\{G_i : i \in I\}$ , with binary operation concatenation (and possibly cancellation, e.g.,  $a_i a_i^{-1}$ ).

(11)

Example: Let  $G_1 = \text{Perm}(\{a, b, c\})$ ,  $G_2 = \text{Perm}(\{1, 2, 3\})$ .

$$g = (abc)(12)(ac)(321)(ab)(13) \in G_1 * G_2$$

$$h = (13)(abc) \in G_1 * G_2 = (bc)$$

$$gh = (abc)(12)(ac)(321)(ab)(13)(13)(abc) = (abc)(12)(ac)(321)(bc)$$

Note that  $|G_1 \times G_2| = 36$  but  $|G_1 * G_2| = \infty$ .

Also,  $L_1: G_1 \hookrightarrow G_1 * G_2$ ,  $L_1(x) = x$  (e.g.,  $L_1(ab) = ab$ )  
is a monomorphism.

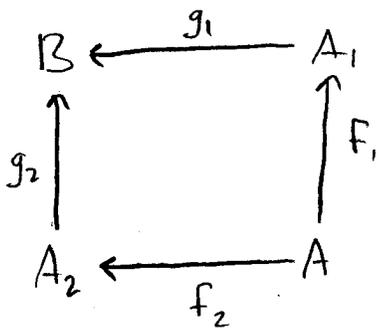
Def: For each  $k \in I$ ,  $L_k: G_k \hookrightarrow \prod_{i \in I}^* G_i$ , defined by  
 $L_k(a) = (a, 1, 1, \dots)$  is a monomorphism of groups.

Thm 6.6:  $\prod_{i \in I}^* G_i$  is a coproduct in the category of groups.

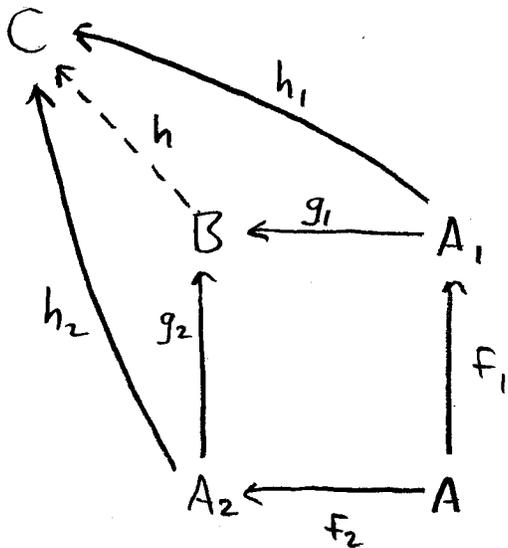
Pf: HW.

Def: Let  $A, A_1, A_2$  be objects in a category  $\mathcal{C}$   
and let  $f_i \in \text{Hom}_{\mathcal{C}}(A, A_i)$  for  $i=1, 2$ . A pushout  
(or fiber coproduct) for  $(A, A_1, A_2, f_1, f_2)$  is a  
commutative diagram with the following property:

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(\*) For any object  $C \in \text{Ob}(\mathcal{C})$  and morphisms  $h_i \in \text{Hom}_{\mathcal{C}}(A_i, C)$  s.t. if  $h_1 f_1 = h_2 f_2$ ,  $\exists!$   $h \in \text{Hom}_{\mathcal{C}}(B, C)$  such that  $h g_i = h_i$  for  $i=1, 2$ .



Prop: Suppose  $\begin{array}{ccc} B' & \xleftarrow{g'_1} & A_1 \\ g'_2 \uparrow & & \uparrow f_1 \\ A_2 & \xleftarrow{f_2} & A \end{array}$  is another pushout for  $(A, A_1, A_2, f_1, f_2)$ .  
Then  $B' \cong B$ .

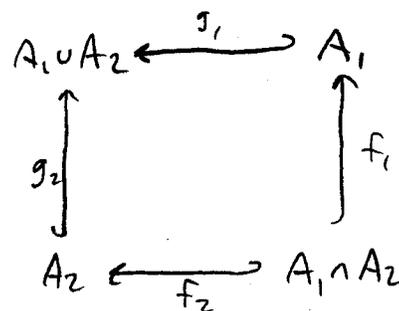
Pf: Hw.

Example

1)  $\mathcal{C} = \text{Set}$ . Let  $A = A_1 \cap A_2$ ,  $f_i: A \hookrightarrow A_i$  inclusions.

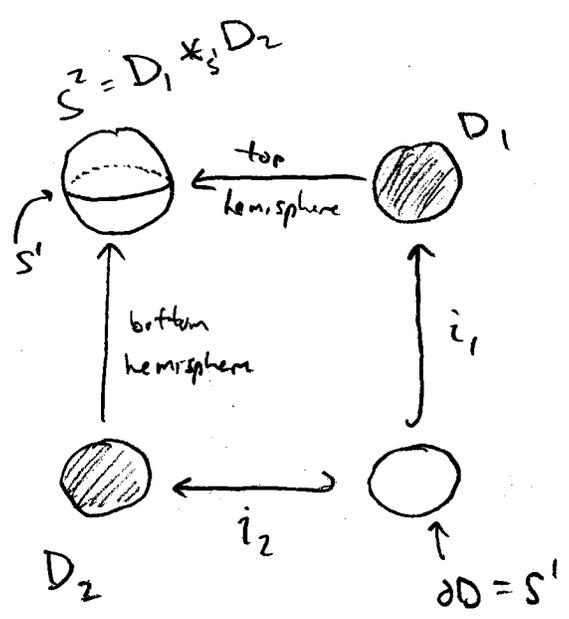
Then the pushout of  $(A, A_1, A_2, f_1, f_2)$  is.

Think:  $A_1 \cup A_2$  with  $f_1(A) \dot{=} f_2(A_2)$  identified.



12)  $\mathcal{C} = \text{Top}$  (or Set)

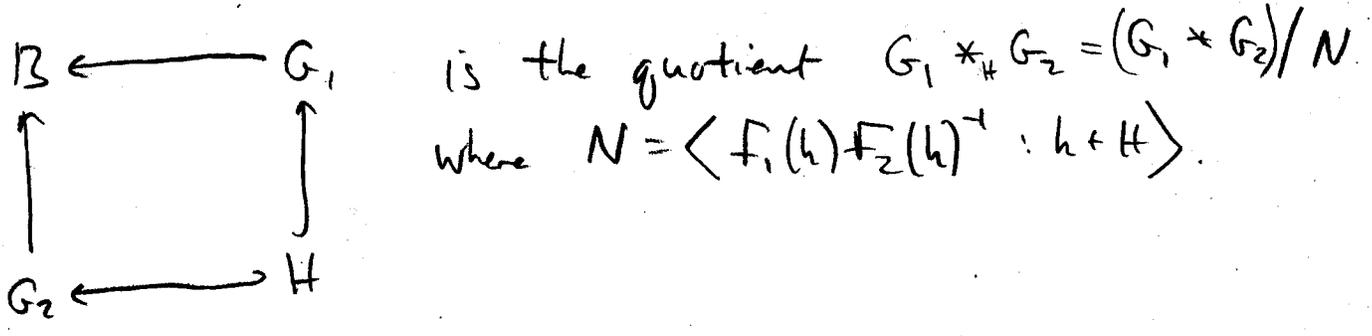
Consider 2 disjoint closed disks,  $D_1, D_2$ . They have boundary circle  $\partial D_i = S^1$ . The pushout of  $(S^1, D_1, D_2, i_1, i_2)$ , where  $i_i: S^1 \rightarrow D_i$  are inclusion maps, is the 2-sphere.



Think: "glue 2 disks along their boundary circle."

Question: what do we get if  $i_2: S^1 \rightarrow D_2$  is the map that "wraps  $S^1$  around  $\partial D_2$  twice"?

13)  $\mathcal{C} = \text{Grp}$  If the maps  $f_{1,2}$  are injective, then the pushout is the free product with amalgamation, and



Note: If  $H = 1$ , then this is just the free product  $G_1 * G_2$ . Think how this generalizes

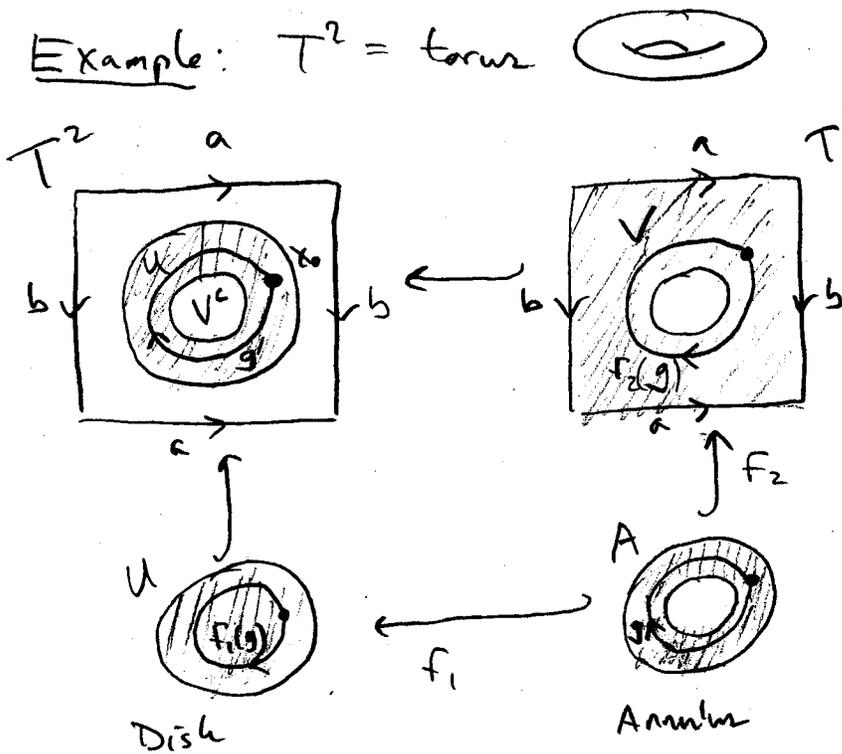
"The pushout, without  $A$  and  $f_i: A \rightarrow A_i$ , simply reduces to the coproduct of  $\{A_i: i \in I\}$ "

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Application: Seifert-Van Kampen Theorem (algebraic topology).

Sketch of main idea: let  $X = U \cup V$  and  $A = U \cap V$  be path-connected top. spaces. The fundamental group of  $X$  is  $\pi_1(X, x_0) \cong \pi_1(U, x_0) *_{\pi_1(A, x_0)} \pi_1(V, x_0)$ .

Example:  $T^2 = \text{torus}$  



$T^2 \setminus \text{disk}$ .  $\gamma$  retracts to  $aba^{-1}b^{-1}$  in  $V$

$T^2 = U \cup V$ , where  $U$  is a disk, and  $V$  deformation-retracts to the boundary of the square, which is  $S^1 \vee S^1$   The annulus  $A$  def-retracts to  $S^1$ .  $\pi_1(S^1, x_0) \cong \mathbb{Z} = \langle \gamma \rangle$  and  $\pi_1(S^1 \vee S^1, x_0) \cong \mathbb{Z} * \mathbb{Z} = \langle a, b \rangle$ .

Seifert-Van Kampen. This pushout of top. spaces carries over to a pushout of groups (This is a functor:  $\text{Top} \rightarrow \text{Grp}$ ).

$$\langle a, b \mid aba^{-1}b^{-1} \rangle \cong \mathbb{Z} \times \mathbb{Z}$$

$$\langle a, b \mid \rangle \cong \mathbb{Z} * \mathbb{Z}$$

$$\pi_1(T^2, x_0) \longleftarrow \pi_1(S^1 \vee S^1, x_0)$$

$$\begin{array}{c} \uparrow \\ \pi_1(D^2, x_0) \\ \mathbb{1} \end{array}$$

$$\begin{array}{c} \uparrow \\ \pi_1(A, x_0) \\ \langle \gamma \rangle \cong \mathbb{Z} \end{array}$$

$$\begin{array}{ccc} \mathbb{1} & \longleftarrow & aba^{-1}b^{-1} \\ \uparrow & & \uparrow \\ \mathbb{1} & \longleftarrow & \mathbb{Z} \end{array}$$