

8. Free groups, free objects, and group presentations

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Goal of this section: You may have seen a group presentation like the following:

$$(i) D_4 \cong \langle r, s \mid r^4=1, s^2=1, rs=sr^3 \rangle.$$

$$(ii) \mathbb{Z} \times \mathbb{Z} \cong \langle a, b \mid ab=ba \rangle$$

$$(iii) \mathbb{Z}_5 \cong \langle x \mid x^5=1 \rangle.$$

But what does this "really" mean?

For example, take $G = \{1\}$, $r=s=1$. Then r & s certainly "satisfy" the presentation in (i), but clearly, $D_4 \neq \{1\}$.

Or take $G = \{-1, 1\} \cong \mathbb{Z}_2$, $r=1, s=-1$. Again, $r^4=1, s^2=1, rs=sr^3$, but $D_4 \neq \{-1, 1\}$.

Thus, we need to formalize what a group presentation really is. To do this, we'll need to introduce the notion of a free group, and we'll have to start from scratch with a free semigroup.

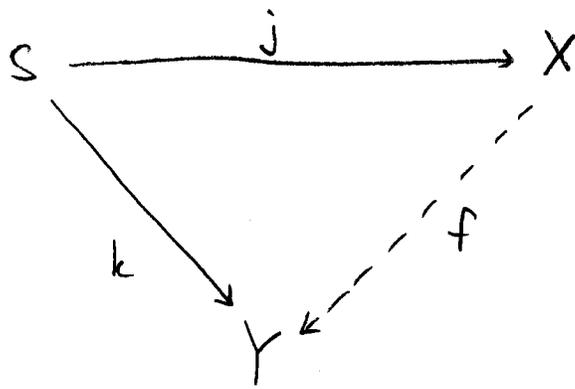
Recall: A semigroup is a non-empty set with an associative binary operation (Think: "group w/o identity or inverses").

A homomorphism between semigroups X, Y is a function $f: X \rightarrow Y$ such that $f(x_1 x_2) = f(x_1) f(x_2) \quad \forall x_{1,2} \in X$.

X and Y are isomorphic if \exists 1-1 \hookrightarrow onto homom. $f: X \rightarrow Y$.

[2]

Def: A semigroup X is free on a set S if there is a function $j: S \rightarrow X$ s.t. for any other semigroup Y and function $k: S \rightarrow Y$, there is a unique homom. $f: X \rightarrow Y$ s.t. $fj = k$.



Prop 8.1: If a free semigroup exists on S , it is unique up to isomorphism.

Pf: Exercise (HW #7).

Example: Let $S = \{1\}$ and $\mathbb{N} = \{1, 2, 3, \dots\}$. Then $(\mathbb{N}, +)$ is a free semigroup on S with $j(1) = 1$. (check!)

Thm 8.2: If $S \neq \emptyset$, then there exists a free semigroup X on S .

Pf: We will construct it explicitly.

Set $X = S \cup (S \times S) \cup (S \times S \times S) \cup \dots$ ("all finite words over S ")

Define a binary operation of concatenation:

$$(a_1, a_2, \dots, a_m)(b_1, \dots, b_k) = (a_1, \dots, a_m, b_1, \dots, b_k)$$

This is associative, thus X is a semigroup.

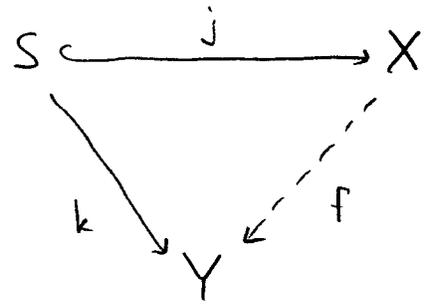
Let $j: S \rightarrow X$ be the inclusion map: $j(x) = x$.

Claim: X is free on S .

To show this, let Y be a semigroup and $k: S \rightarrow Y$ a function.

Define $f: X \rightarrow Y$ by

$$f(a_1, \dots, a_n) = k(a_1) \dots k(a_n)$$



Check: f is a homom. & $fj = k$. ✓

Uniqueness: Say $g: X \rightarrow Y$ is another homom s.t. $fj = gj = k$.

$$\begin{aligned} \text{Then } g(a_1, \dots, a_n) &= g(ja_1, ja_2, \dots, ja_n) \\ &= g(ja_1) \dots g(ja_n) \\ &= k(a_1) \dots k(a_n) \\ &= f(ja_1) \dots f(ja_n) = f(a_1, \dots, a_n) \Rightarrow f = g. \checkmark \end{aligned}$$

Since $\exists!$ f s.t. $fj = k$, X is a free semigroup on S . \square

Prop 8.3: (Quotient semigroups & their universal property).

Suppose Y is a semigroup and R an equiv. relation such that

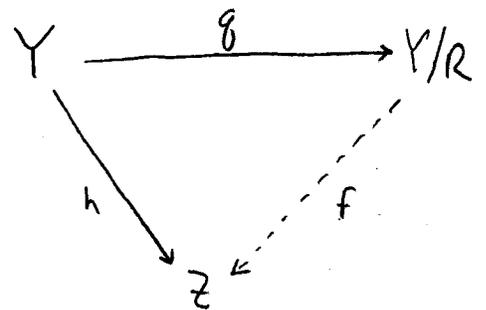
$$\boxed{xRy \ \& \ zRw \Rightarrow xzRyw}$$

we define $cl_R(x) \cdot cl_R(y) = cl_R(xy)$.

Universal property: If Z is another semigroup and $h: Y \rightarrow Z$ a homom., then $\exists!$ homom $f: Y/R \rightarrow Z$ s.t. $fg = h$

iff " $xRy \Rightarrow h(x) = h(y)$."

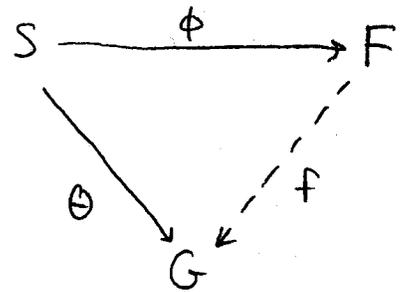
i.e., "every homomorphism respecting R factors through Y/R ."



PF: Exercise. (Define f in the obvious way & show that it works).

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Def: A group F is free on a nonempty set S if \exists function $\phi: S \rightarrow F$ s.t. if G is any other group and $\theta: S \rightarrow G$ any function, then $\exists!$ homom $f: F \rightarrow G$ s.t. $f\phi = \theta$.



* We will show that free groups (if they exist), are unique up to isomorphism, and then we'll show they exist by constructing them from free semigroups, as quotients.

Prop 8.4: (Uniqueness). If a free group F exists on a nonempty set S , then F is unique up to isomorphism, and ϕ is 1-1.

Pf: Uniqueness: Exercise.

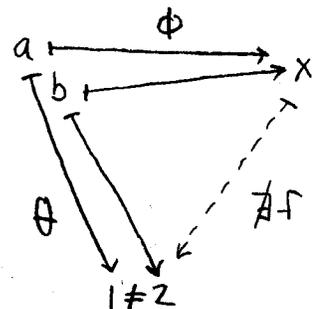
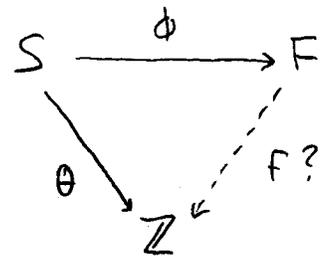
Injectivity of ϕ : Suppose ϕ were not 1-1, and $a \neq b$ but $\phi(a) = \phi(b)$.

Define $\theta: S \rightarrow \mathbb{Z}$

as: $a \mapsto 1$
 $b \mapsto 2$
 $c \mapsto 0 \quad c \neq a, b.$

Then $1 = \theta(a) = f\phi(a) = f\phi(b) = \theta(b) = 2. \quad \perp$

Thus, no such $f: F \rightarrow \mathbb{Z}$ can exist with $f\phi = \theta$, so F is not free.



Thm 8.5: (Existence) If $S \neq \emptyset$, then there is a free group F on S .

Pf: Choose a set S' with $|S'| = |S|$, $S \cap S' = \emptyset$, and put $T = S \cup S'$ (S' will serve as the "inverses" of elts in S).

Let $s \mapsto s'$ be a 1-1 correspondence b/w S and S' , and $s' \mapsto (s')' = s'' = s$ the inverse map $S' \rightarrow S$.

Thus, $t \mapsto t'$ is a bijection $T \rightarrow T$.

Let X be the free semigroup on T (exists by Thm 8.2).

If G is a group and $g: X \rightarrow G$ a homomorphism, call g proper if $g(s') = g(s)^{-1}$ for all $s \in S$.

It follows easily that $g(t') = g(t)^{-1}$ for all $t \in T$.

[Motivation: For any group homomorphism $g: G \rightarrow H$, $g(x^{-1}) = g(x)^{-1} \forall x \in G$]

Define a relation R on X where

$$xRy \text{ iff } "g: X \rightarrow G \text{ proper} \implies g(x) = g(y)"$$

Check: • R is an equiv. relation on X

$$\bullet xRy \text{ and } zRw \implies xzRyw.$$

Therefore, $F = X/R$ is a semigroup and $\bar{g}: X \rightarrow X/R$ is a homom. (by Prop 8.3). Write $\bar{x} = \bar{g}(x)$.

[6]

Claim: (i) F is a group
(ii) F is free on S .

Pf of claim:

(i) F is a group:

Choose $s \in S$, $x \in X$ (under natural inclusion, $s, s' \in X$).

If $g: X \rightarrow G$ is proper, then $g(ss') = 1$, so $g(xss') = g(x)$.

By definition of R , $xR xss' \Leftrightarrow \bar{x} = \overline{xss'} = \bar{x} \overline{ss'}$.

Similarly, $\overline{ss'} \bar{x} = \bar{x}$, so $\overline{ss'} \in 1_F = 1$. \checkmark

For $x = a_1 a_2 \dots a_k$, $a_i \in T$, write $y = a'_k a'_{k-1} \dots a'_2 a'_1$

If $g: X \rightarrow G$ is proper, then

$$\begin{aligned} g(xy) &= g(a_1) \dots g(a_k) g(a'_k) \dots g(a'_1) \\ &= g(a_1) \dots g(a_k) g(a_k)^{-1} \dots g(a_1)^{-1} \end{aligned}$$

Thus, $xyRaa' \Rightarrow \bar{xy} = \bar{x} \bar{y} = \bar{aa'} = 1_F$.

Similarly, $\bar{y} \bar{x} = 1_F$ \checkmark

Since F contains an identity and inverses exist, F is a group. \checkmark

* Think of F as the group of finite words over $S \cup S^{-1}$ (the set of generators S and their inverses, S^{-1}), where the binary operation is concatenation.

(ii) F is free on S:

Let $S \xrightarrow{i} T \xrightarrow{j} X$ be inclusion maps.

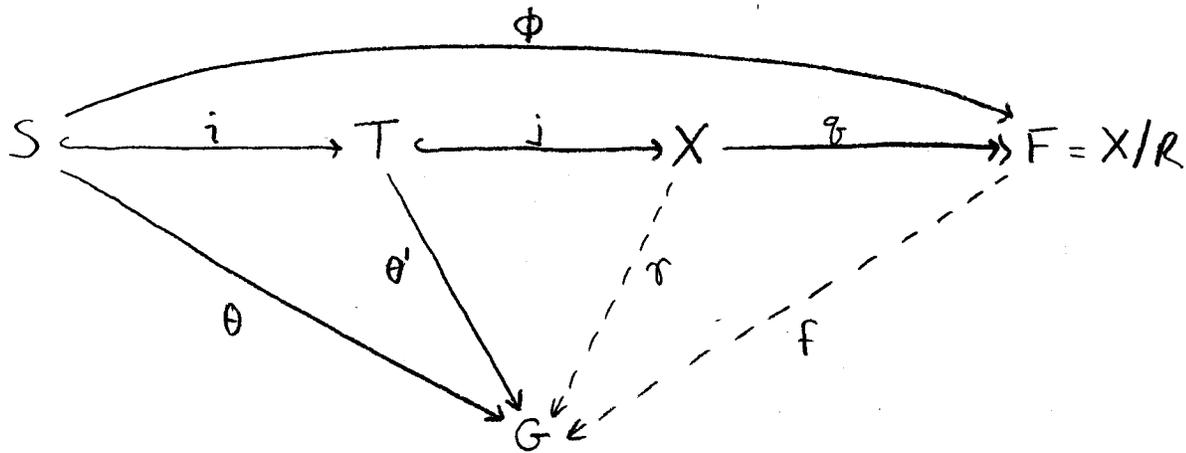
Define $\phi: S \rightarrow F$ by $\phi = qji$.

Now, let G be a group and $\theta: S \rightarrow G$ any function.

Extend θ to $\theta': T \rightarrow G$ by setting $\theta'(s') = \theta(s)^{-1} \forall s \in S$.

Goal: Show $\exists!$ homom. $f: X \rightarrow G$ s.t. $f\phi = \theta$.

Since X is free on T , $\exists!$ homom. $\tau: X \rightarrow G$ s.t. $\tau j = \theta'$.



By Prop. 8.3, $\exists!$ homom. $f: F \rightarrow G$ s.t. $f q = \tau$.

Note: $f\phi = f q j i = \tau j i = \theta' i = \theta$.

Need uniqueness of f : Suppose $\exists h: F \rightarrow G$ s.t. $h\phi = \theta$.

Note: $h q j = \theta'$, because

$$h q j(s') = h q(s)^{-1} = (h q j(a))^{-1} = \theta(a)^{-1} = \theta'(a).$$

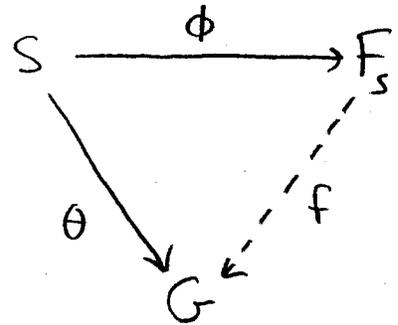
Now, $h q = \tau = f q$, so by Prop. 8.3, $h = f$. \square

[8]

Since ϕ is 1-1, we identify $s \in S$ with $\phi(s) \in F$, and just say $S \subset F$.

The elements of S are the generators of F , and we write $F = F_S = \langle S \rangle$.

Note: By definition, any function $\theta: S \rightarrow G$ (for arbitrary G) can be extended uniquely to a homom $f: F_S \rightarrow G$ s.t. $f\phi = \theta$.



Examples:

(1) $|S|=1$, say $S = \{s\}$, and let $\phi: S \rightarrow \mathbb{Z}$
 $s \mapsto 1$.

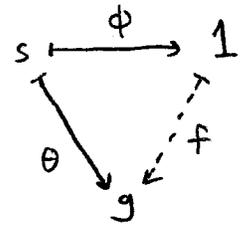
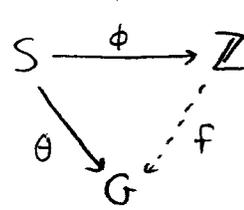
let $\theta: S \rightarrow G$ be any map;

say $\theta(s) = g$.

Then the homom. $f: \mathbb{Z} \rightarrow G$
 $1 \mapsto g$

is the unique homom. s.t. $f\phi = \theta$.

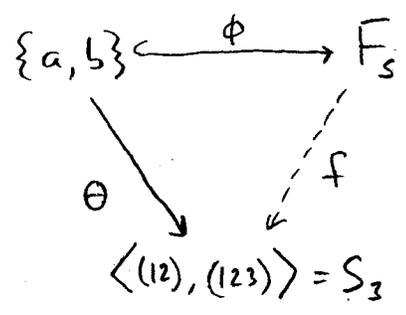
Thus, \mathbb{Z} is free on S .



Note: $\langle g \rangle \cong \mathbb{Z}$ or \mathbb{Z}_n , thus every cyclic group is the quotient of the free group on $\{s\}$ (one generator).

(2) Let $S = \{a, b\}$.

Note that S_3 is not cyclic; it has two generators: $S_3 = \langle (12), (123) \rangle$.



Let $\phi: \{a, b\} \rightarrow F_S$ be the inclusion map.

Define $\theta: \{a, b\} \rightarrow S_3 = \langle (12), (123) \rangle$.

$a \mapsto (12)$

$b \mapsto (123)$.

The free group F_S is the set of all words over $S = \{a, b\}$ under concatenation, which we write as $\langle a, b \mid \rangle$ (2 generators, no relations).

The map $\theta: S \rightarrow S_3$ extends to a unique homom. $f: F_S \rightarrow S_3$.

Big idea: The group S_3 is generated by 2 elements, and is a quotient of the free group F_S , where $|S| = 2$.

More generally, if $G = \langle S \rangle$ and $|S| = n$, then \exists homom.

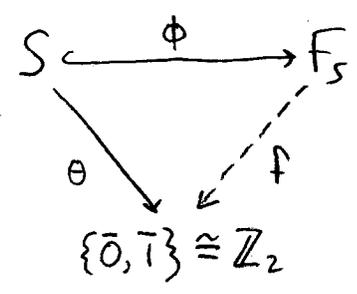
$F_S \rightarrow G$ i.e., every group is the quotient of a free group.

Thm 8.6: Suppose $S, U \neq \emptyset$. Then $F_S \cong F_U$ iff $|S| = |U|$.

PF: (\Rightarrow). Case 1: $|S| < \infty$.

Since $F_S \cong F_U$, they have the same number of index-2 subgroups.

Every surjection $S \rightarrow \mathbb{Z}_2$ uniquely defines an index-2 subgroup (the kernel of $F_S \xrightarrow{f} \mathbb{Z}_2$).



Thus F_S has $2^{|S|} - 1$ index-2 subgps, & F_U has $2^{|U|} - 1 \Rightarrow |S| = |U|$. ✓

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Case 2: $|S| = \infty$. Set $T = S \cup S^{-1}$, so $|T| = |S|$.

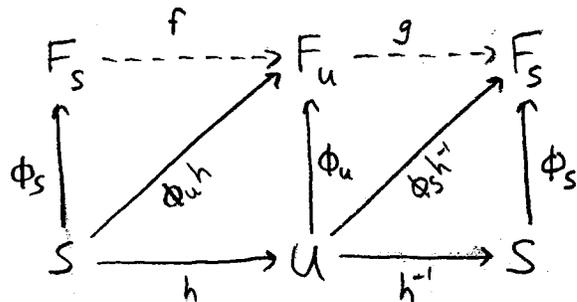
$$|F_S| \leq 1 + |T| + |T \times T| + |T \times T \times T| + \dots = \aleph_0 |T| = |S|.$$

Therefore, $|F_S| = |S|$, and so $|S| = |F_S| = |F_U| = |U| \checkmark$

(\Leftarrow) Suppose $h: S \rightarrow U$ is a bijection,

$$\phi_S: S \hookrightarrow F_S, \quad \phi_U: U \hookrightarrow F_U.$$

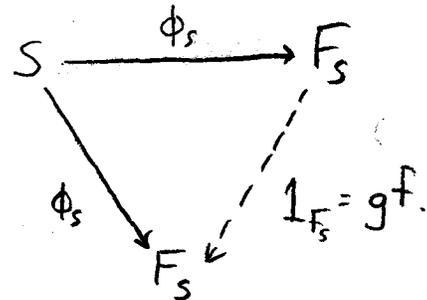
Then $\phi_U \circ h: S \hookrightarrow F_U$, so $\exists!$



homom. $f: F_S \rightarrow F_U$ s.t. $f\phi_S = \phi_U h$.

Similarly, $\phi_S h^{-1}: U \hookrightarrow F_S$, so $\exists!$

homom. $g: F_U \rightarrow F_S$ s.t. $g\phi_U = \phi_S h^{-1}$.



Now, we have $gf: F_S \rightarrow F_S$ satisfying

$$\phi_S = gf\phi_S, \text{ but also } 1_{F_S}: F_S \rightarrow F_S \text{ satisfying}$$

$$\phi_S = 1_{F_S}\phi_S. \text{ By uniqueness, } gf = 1_{F_S}.$$

Similarly, $fg = 1_{F_U}$, so f & g are inverse isomorphisms, and

$$F_S \cong F_U. \quad \square$$

Def: The rank of a free group is the cardinality of any generating set.

Thm: Subgroups of free groups are free.

Thm: If $1 < |S|$, $|U| \leq \aleph_0$, then \exists embedding $F_S \hookrightarrow F_U$.

Proofs: Require algebraic topology (covering spaces).

Not surprisingly, the concept of a "free" object can be defined in a categorical setting.

Def: A concrete category is a category \mathcal{C} where the objects $A \in \text{Ob}(\mathcal{C})$ have an underlying set structure, $\sigma(A)$, and

(i) Every $F \in \text{Hom}_{\mathcal{C}}(A, B)$ is a function on the underlying sets:

$$\begin{array}{ccc} A & \xrightarrow{F} & B \\ \mathcal{F} \downarrow & & \downarrow \mathcal{F} \\ \mathcal{F}(A) & \xrightarrow{\mathcal{F}(F)} & \mathcal{F}(B) \end{array}$$

(ii) The identity morphism is the identity function on the sets:

$$\begin{array}{ccc} A & \xrightarrow{1_A} & A \\ \mathcal{F} \downarrow & & \downarrow \mathcal{F} \\ \mathcal{F}(A) & \xrightarrow{\text{id}} & \mathcal{F}(A) \end{array}$$

(iii) Composition of functions agree with composition of functions on the sets:

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ \mathcal{F} \downarrow & & \downarrow \mathcal{F} & & \downarrow \mathcal{F} \\ \mathcal{F}(A) & \xrightarrow{\mathcal{F}(f)} & \mathcal{F}(B) & \xrightarrow{\mathcal{F}(g)} & \mathcal{F}(C) \end{array}$$

Most categories we encounter are concrete categories.

Think: Objects: sets with extra structure (e.g., groups)

Morphisms: Functions with extra structure (e.g., homomorphisms).

Non-examples.

(1) Any directed graph defines a non-concrete category (with assumption of loops & transitive edges).

(2) Let G be any group. $\text{Ob}(\mathcal{C}) := \{G\}$,

$$\text{Hom}(\mathcal{C}) = \text{Hom}(G, G) = G.$$

Composition of morphisms $a \circ b = ab$.

Every morphism is an equivalence, & e is the identity morphism.

(12)

Note: A morphism is associated with a function btw sets, but not always vice-versa.

Def: Let F be an object of a concrete category \mathcal{C} , S a non-empty set, and $\phi: S \rightarrow F$ a map of sets. Then F is free on S if for any $A \in \text{Ob}(\mathcal{C})$ and map (of sets) $\theta: S \rightarrow A$, $\exists! F \in \text{Hom}_{\mathcal{C}}(F, A)$ s.t. $f\phi = \theta$ (as maps of sets $S \rightarrow A$).

Thm 8.7: Let $F, F' \in \text{Ob}(\mathcal{C})$ be free objects on S, S' resp, and $|S| = |S'|$. Then F and F' are equivalent.

Pf: HW # 7 (Mimic the " \Leftarrow " direction of proof of Thm 8.6).

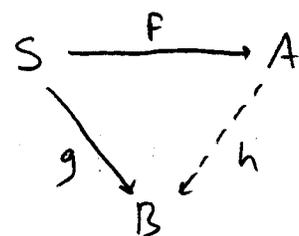
Free objects are universal (i.e., initial) objects in an appropriately constructed category (like products were).

Example: Let $F \in \text{Ob}(\mathcal{C})$ be free on S , $\phi: S \rightarrow F$.

Define a new category \mathcal{D} as follows:

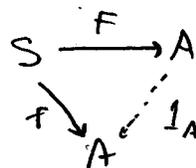
$\text{Ob}(\mathcal{D})$: maps of sets $S \rightarrow A \in \text{Ob}(\mathcal{C})$

$\text{Hom}(\mathcal{D})$: $h \in \text{Hom}_{\mathcal{D}}(f: S \rightarrow A, g: S \rightarrow B)$ s.t. $hf = g$.



Check: $1_A: A \rightarrow A$ is identity morphism

from $F \rightarrow f$:



- h is an equivalence in \mathcal{D} iff h is an equivalence in \mathcal{C} .

- If F is free on S , then $\phi: S \rightarrow F$ is the universal (i.e., initial) object in \mathcal{D} .

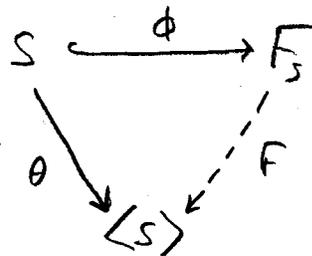
Return to the setting of groups.

The existence of free groups implies the following:

Prop 8.8: If $G = \langle S \rangle$, then \exists homom $F_S \rightarrow G$.

Pf: Let $\phi: S \rightarrow F_S$ and $\theta: S \rightarrow G$.

Since F_S is free on S , ϕ extends to a homom. $f: F_S \rightarrow G$ with $f(s) = \theta(s)$. Since $\langle S \rangle = G$, f is surjective. \square



By Prop 8.8 & FHT, if $G = \langle S \rangle$, then $G \cong F_S/K$ for some $K \triangleleft F_S$.

Note: If $T \subseteq K$, then each $t \in K$ is a word in F_S (i.e., in $S \cup S^{-1}$). The quotient $g: F_S \rightarrow F_S/K$ maps $t \mapsto tK = K$, i.e., it "sets $t=1$."

We say that G has a set S of generators subject to a set of relations $\{t=1 : t \in T\}$.

Thus, all groups $G = \langle S \rangle$ can be described as follows:

Let S be a set, $T \subseteq F_S$, and $K := \bigcap_{t \in T} N_t \triangleleft G$.

Define $\langle S \mid t=1 \ \forall t \in T \rangle := F_S/K$, called a presentation of G .

Ex: The cyclic group of order n has presentation $\langle a \mid a^n = 1 \rangle$.

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Note: Often, we omit the " $=1$ " because it is understood, or just write, e.g., $G = \langle S | T \rangle$.

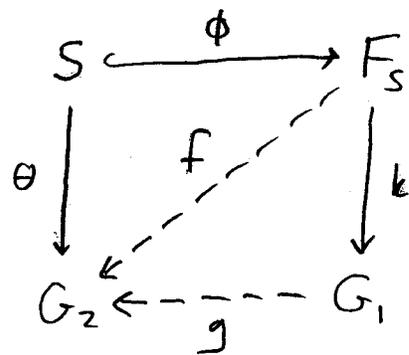
Prop 8.9: Suppose $G_1 = \langle S | T_1 \rangle$, $T_1 \subseteq T_2$, and $G_2 = \langle S | T_2 \rangle$.

Then \exists homom $g: G_1 \twoheadrightarrow G_2$.

PF: Assume $k: F_S \twoheadrightarrow F_S / K_1 = G_1$ is the canonical quotient map, where

$$K_1 = \bigcap_{T \in N_1, \forall G} N_T.$$

Let $\phi: S \hookrightarrow F_S$ and $\theta: S \hookrightarrow G_2$



be the inclusion maps. Since F_S is free, $\exists!$ $f: F_S \twoheadrightarrow G_2$ s.t. $\theta = fg$.

Since $T_1 \subseteq T_2$, $\ker k \subseteq \ker f$.

Thus, \exists homom $g: G_1 \twoheadrightarrow G_2$ s.t. $f = gk$. \square

* To summarize Prop 8.9: "Adding relations induces a homomorphism."

Note: Removing a generator s_i is equivalent to adding the relation $s_i = 1$.

Thus, if $S_1 \supseteq S_2$ and $T_1 \subseteq T_2$, then \exists homom $\langle S_1, T_1 \rangle \twoheadrightarrow \langle S_2, T_2 \rangle$.

Note: We actually don't need $S_1 \supseteq S_2$, but rather just a surjection $S_1 \twoheadrightarrow S_2$ that "respects relations."

More precisely, we have the following more general corollary:

Cor 8.10: Suppose G_1 is a group with presentation $\langle S \mid t=1 \ \forall t \in T \rangle$
and $G_2 = \langle S' \mid t'=1 \ \forall t' \in T' \rangle$ such that

- (i) $\exists \theta: S \rightarrow S'$, say $\theta(s) = s'$ and extending $\theta: T \rightarrow T'$
(ii) $t'=1 \ \forall t' \in T'$ (i.e., $\theta(t)=1 \ \forall t \in T$).

Then \exists homom $g: G_1 \rightarrow G_2$.

Examples:

(1) let $G_1 = \langle a \mid a^n = 1 \rangle$ and $G_2 = \langle b \mid b^m = 1 \rangle$, $m \mid n$.

Then $b^m = 1$, so the map $\theta: a \mapsto b$ extends to a
homom. $G_1 \rightarrow G_2$. (In fact, $\mathbb{Z}_n \rightarrow \mathbb{Z}_m$)

(2) let $G = \langle a, b \mid a^3 = 1, b^2 = 1, abab = 1 \rangle$

Note: $\left. \begin{array}{l} a^3 = 1 \Rightarrow a^{-1} = a^2 \\ b^2 = 1 \Rightarrow b^{-1} = b \end{array} \right\}$ so, $abab = 1 \Leftrightarrow ab = ba^{-1} = ba^2$.

Thus, every element can be written in the form $b^i a^j$ where
 $i = 0, 1$ and $j = 0, 1, 2 \Rightarrow |G| \leq 6$.

(So, $G \cong 1, \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_4, \mathbb{Z}_2 \oplus \mathbb{Z}_2, \mathbb{Z}_5, \mathbb{Z}_6$ or S_3).

Which one is it?

Consider $\sigma = (123)$ $\tau = (12)$ in S_3 . Note that $S_3 = \langle \sigma, \tau \rangle$.

let $\theta(a) = \sigma$ and $\theta(b) = \tau$. Check: $\sigma^3 = 1, \tau^2 = 1, \sigma\tau = \tau\sigma^2$.

By Cor 8.10 $\exists g: G \rightarrow S_3 \Rightarrow |G| \geq 6$.

Therefore, $G \cong S_3$, i.e., S_3 has presentation $\langle a, b \mid a^3, b^2, abab \rangle$.

[6]

(3) Let $G = \langle x, y \mid xy = y^2x, yx = x^2y \rangle$.

Note: $xy = y^2x \Rightarrow y^{-1}(xy) = yx = x^2y = x(xy) \Rightarrow x = y^{-1}$

Thus, $1 = xy = y^2x = y(yx) = y \Rightarrow y = 1 \Rightarrow x = 1$.

Hence, $G = 1$.

(4) Let $G = \langle a, b \mid a^n = b^2 = 1, ab = ba^{-1} \rangle$.

Consider D_n , with $\sigma = 2\pi/n$ -rotation, and τ a reflection.

Define $\theta(a) = \sigma$, $\theta(b) = \tau$, and check $\sigma^n = \tau^2 = 1$, $\sigma\tau = \tau\sigma^{-1}$.

Thus \exists homom $G \rightarrow D_n \Rightarrow |G| \geq |D_n| = 2n$

But also note: $a^{-1} = a^{n-1}$, $b^{-1} = b$, $ab = ba^{n-1}$, thus

every elt can be written as $a^i b^j$, $0 \leq i < n$, $0 \leq j < 2$,

hence $|G| \leq 2n$.

Together, we conclude that $G \cong D_n$.

Fact: Given two finitely presented groups $G_1 = \langle S_1 \mid T_1 \rangle$
and $G_2 = \langle S_2 \mid T_2 \rangle$ (i.e., $|S_i|, |T_i| < \infty$), determining
whether $G_1 \cong G_2$ is, in general, computationally undecidable!