

Chapter 11: Galois theory

Matthew Macauley

Department of Mathematical Sciences
Clemson University
<http://www.math.clemson.edu/~macaule/>

Math 4120, Spring 2014

Overview and some history

The **quadratic formula** is well-known. It gives us the two roots of a degree-2 polynomial $ax^2 + bx + c = 0$:

$$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}.$$

There are formulas for cubic and quartic polynomials, but they are *very* complicated. For years, people wondered if there was a **quintic formula**. Nobody could find one.

In the 1830s, 19-year-old political activist **Évariste Galois**, with no formal mathematical training proved that no such formula existed.



He invented the concept of a **group** to solve this problem.

After being challenged to a duel at age 20 that he knew he would lose, Galois spent the last few days of his life frantically writing down what he had discovered.

In a final letter Galois wrote, *“Later there will be, I hope, some people who will find it to their advantage to decipher all this mess.”*

Hermann Weyl (1885–1955) described Galois’ final letter as: *“if judged by the novelty and profundity of ideas it contains, is perhaps the most substantial piece of writing in the whole literature of mankind.”* Thus was born the field of group theory!

Arithmetic

Most people's first exposure to mathematics comes in the form of counting.

At first, we only know about the **natural numbers**, $\mathbb{N} = \{1, 2, 3, \dots\}$, and how to add them.

Soon after, we learn how to subtract, and we learn about negative numbers as well. At this point, we have the **integers**, $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$.

Then, we learn how to divide numbers, and are introduced to fractions. This brings us to the **rational numbers**, $\mathbb{Q} = \{\frac{a}{b} \mid a, b \in \mathbb{Z}, b \neq 0\}$.

Though there are other numbers out there (irrational, complex, etc.), we don't need these to do basic arithmetic.

Key point

To do arithmetic, we need *at least* the rational numbers.

Fields

Definition

A set F with addition and multiplication operations is a **field** if the following three conditions hold:

- F is an **abelian group** under addition.
- $F \setminus \{0\}$ is an abelian group under multiplication.
- The distributive law holds: $a(b + c) = ab + ac$.

Examples

- The following sets are fields: \mathbb{Q} , \mathbb{R} , \mathbb{C} , \mathbb{Z}_p (prime p).
- The following sets are *not* fields: \mathbb{N} , \mathbb{Z} , \mathbb{Z}_n (composite n).

Definition

If F and E are fields with $F \subset E$, we say that E is an **extension** of F .

For example, \mathbb{C} is an extension of \mathbb{R} , which is an extension of \mathbb{Q} .

In this chapter, we will explore some more unusual fields and study their automorphisms.

An extension field of \mathbb{Q}

Question

What is the **smallest** extension field F of \mathbb{Q} that contains $\sqrt{2}$?

This field must contain all sums, differences, and quotients of numbers we can get from $\sqrt{2}$. For example, it must include:

$$-\sqrt{2}, \quad \frac{1}{\sqrt{2}}, \quad 6 + \sqrt{2}, \quad \left(\sqrt{2} + \frac{3}{2}\right)^3, \quad \frac{\sqrt{2}}{16 + \sqrt{2}}.$$

However, these can be simplified. For example, observe that

$$\left(\sqrt{2} + \frac{3}{2}\right)^3 = (\sqrt{2})^3 + \frac{9}{2}(\sqrt{2})^2 + \frac{27}{4}\sqrt{2} + \frac{27}{8} = \frac{99}{8} + \frac{35}{4}\sqrt{2}.$$

In fact, *all* of these numbers can be written as $a + b\sqrt{2}$, for some $a, b \in \mathbb{Q}$.

Key point

The smallest extension of \mathbb{Q} that contains $\sqrt{2}$ is called " **\mathbb{Q} adjoin $\sqrt{2}$** ," and denoted:

$$\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\} = \left\{ \frac{p}{q} + \frac{r}{s}\sqrt{2} : p, q, r, s \in \mathbb{Z}, q, s \neq 0 \right\}.$$

$\mathbb{Q}(i)$: Another extension field of \mathbb{Q}

Question

What is the **smallest** extension field F of \mathbb{Q} that contains $i = \sqrt{-1}$?

This field must contain

$$-i, \quad \frac{2}{i}, \quad 6 + i, \quad \left(i + \frac{3}{2}\right)^3, \quad \frac{i}{16+i}.$$

As before, we can write all of these as $a + bi$, where $a, b \in \mathbb{Q}$. Thus, the field “ \mathbb{Q} adjoin i ” is

$$\mathbb{Q}(i) = \{a + bi : a, b \in \mathbb{Q}\} = \left\{ \frac{p}{q} + \frac{r}{s} i : p, q, r, s \in \mathbb{Z}, q, s \neq 0 \right\}.$$

Remarks

- $\mathbb{Q}(i)$ is much smaller than \mathbb{C} . For example, it does *not* contain $\sqrt{2}$.
- $\mathbb{Q}(\sqrt{2})$ is a **subfield** of \mathbb{R} , but $\mathbb{Q}(i)$ is not.
- $\mathbb{Q}(\sqrt{2})$ contains all of the roots of $f(x) = x^2 - 2$. It is called the **splitting field** of $f(x)$. Similarly, $\mathbb{Q}(i)$ is the splitting field of $g(x) = x^2 + 1$.

$\mathbb{Q}(\sqrt{2}, i)$: Another extension field of \mathbb{Q}

Question

What is the **smallest** extension field F of \mathbb{Q} that contains $\sqrt{2}$ and $i = \sqrt{-1}$?

We can do this in two steps:

- (i) Adjoin the roots of the polynomial $x^2 - 2$ to \mathbb{Q} , yielding $\mathbb{Q}(\sqrt{2})$;
- (ii) Adjoin the roots of the polynomial $x^2 + 1$ to $\mathbb{Q}(\sqrt{2})$, yielding $\mathbb{Q}(\sqrt{2})(i)$;

An element in $\mathbb{Q}(\sqrt{2}, i) := \mathbb{Q}(\sqrt{2})(i)$ has the form

$$\begin{array}{ll} \alpha + \beta i & \alpha, \beta \in \mathbb{Q}(\sqrt{2}) \\ (a + b\sqrt{2}) + (c + d\sqrt{2})i & a, b, c, d \in \mathbb{Q} \\ a + b\sqrt{2} + ci + d\sqrt{2}i & a, b, c, d \in \mathbb{Q} \end{array}$$

We say that $\{1, \sqrt{2}, i, \sqrt{2}i\}$ is a **basis** for the extension $\mathbb{Q}(\sqrt{2}, i)$ over \mathbb{Q} . Thus,

$$\mathbb{Q}(\sqrt{2}, i) = \{a + b\sqrt{2} + ci + d\sqrt{2}i : a, b, c, d \in \mathbb{Q}\}$$

In summary, $\mathbb{Q}(\sqrt{2}, i)$ is constructed by starting with \mathbb{Q} , and adjoining all roots of $h(x) = (x^2 - 2)(x^2 + 1) = x^4 - x^2 - 2$. It is the **splitting field** of $h(x)$.

$\mathbb{Q}(\sqrt{2}, \sqrt{3})$: Another extension field of \mathbb{Q}

Question

What is the **smallest** extension field F of \mathbb{Q} that contains $\sqrt{2}$ and $\sqrt{3}$?

This time, our field is $\mathbb{Q}(\sqrt{2}, \sqrt{3})$, constructed by starting with \mathbb{Q} , and adjoining all roots of the polynomial $h(x) = (x^2 - 2)(x^2 - 3) = x^4 - 5x^2 + 6$.

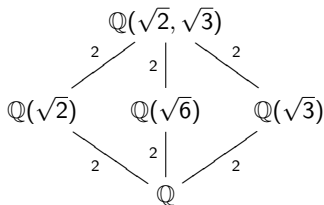
It is not difficult to show that $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$ is a basis for this field, i.e.,

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \{a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} : a, b, c, d \in \mathbb{Q}\}.$$

Like with did with a group and its subgroups, we can arrange the **subfields** of $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ in a lattice.

I've labeled each extension with the **degree** of the polynomial whose roots I need to adjoin.

Just for fun: What *group* has a subgroup lattice that looks like this?



$\mathbb{Q}(\zeta, \sqrt[3]{2})$: Another extension field of \mathbb{Q}

Question

What is the **smallest** extension field F of \mathbb{Q} that contains all roots of $g(x) = x^3 - 2$?

Let $\zeta = e^{2\pi i/3} = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$. This is a **3rd root of unity**; the roots of $x^3 - 1 = (x-1)(x^2 + x + 1)$ are $1, \zeta, \zeta^2$.

Note that the roots of $g(x)$ are

$$z_1 = \sqrt[3]{2}, \quad z_2 = \zeta\sqrt[3]{2}, \quad z_3 = \zeta^2\sqrt[3]{2}.$$

Thus, the field we seek is $F = \mathbb{Q}(z_1, z_2, z_3)$.

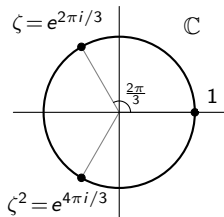
I claim that $F = \mathbb{Q}(\zeta, \sqrt[3]{2})$. Note that this field contains z_1, z_2 , and z_3 . Conversely, we can construct ζ and $\sqrt[3]{2}$ from z_1 and z_2 , using arithmetic.

A little algebra can show that

$$\mathbb{Q}(\zeta, \sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} + d\zeta + e\zeta\sqrt[3]{2} + f\zeta\sqrt[3]{4} : a, b, c, d, e, f \in \mathbb{Q}\}.$$

Since $\zeta = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ lies in $\mathbb{Q}(\zeta, \sqrt[3]{2})$, so does $2(\zeta + \frac{1}{2}) = \sqrt{3}i = \sqrt{-3}$. Thus,

$$\mathbb{Q}(\zeta, \sqrt[3]{2}) = \mathbb{Q}(\sqrt{-3}, \sqrt[3]{2}) = \mathbb{Q}(\sqrt{3}i, \sqrt[3]{2}).$$



Subfields of $\mathbb{Q}(\zeta, \sqrt[3]{2})$

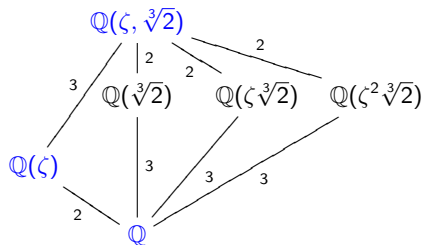
What are the subfields of

$$\mathbb{Q}(\zeta, \sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} + d\zeta + e\zeta\sqrt[3]{2} + f\zeta\sqrt[3]{4} : a, b, c, d, e, f \in \mathbb{Q}\}?$$

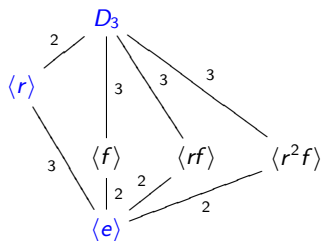
Note that $(\zeta^2)^2 = \zeta^4 = \zeta$, and so $\mathbb{Q}(\zeta^2) = \mathbb{Q}(\zeta) = \{a + b\zeta : a, b \in \mathbb{Q}\}$.

Similarly, $(\sqrt[3]{4})^2 = 2\sqrt[3]{2}$, and so $\mathbb{Q}(\sqrt[3]{4}) = \mathbb{Q}(\sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} : a, b, c \in \mathbb{Q}\}$.

There are two more subfields. As we did before, we can arrange them in a lattice:



Look familiar?



Compare this to the
subgroup lattice of D_3 .

Field automorphisms

Recall that an automorphism of a group G was an isomorphism $\phi: G \rightarrow G$.

Definition

Let F be a field. A **field automorphism** of F is a bijection $\phi: F \rightarrow F$ such that for all $a, b \in F$,

$$\phi(a + b) = \phi(a) + \phi(b) \quad \text{and} \quad \phi(ab) = \phi(a)\phi(b).$$

In other words, ϕ must **preserve the structure** of the field.

For example, let $F = \mathbb{Q}(\sqrt{2})$. Verify (HW) that the function

$$\phi: \mathbb{Q}(\sqrt{2}) \longrightarrow \mathbb{Q}(\sqrt{2}), \quad \phi: a + b\sqrt{2} \longmapsto a - b\sqrt{2}.$$

is an automorphism. That is, show that

- $\phi((a + b\sqrt{2}) + (c + d\sqrt{2})) = \cdots = \phi(a + b\sqrt{2}) + \phi(c + d\sqrt{2})$
- $\phi((a + b\sqrt{2})(c + d\sqrt{2})) = \cdots = \phi(a + b\sqrt{2})\phi(c + d\sqrt{2})$.

What other field automorphisms of $\mathbb{Q}(\sqrt{2})$ are there?

A defining property of field automorphisms

Field automorphisms are central to Galois theory! We'll see why shortly.

Proposition

If ϕ is an automorphism of an extension field F of \mathbb{Q} , then

$$\phi(q) = q \quad \text{for all } q \in \mathbb{Q}.$$

Proof

Suppose that $\phi(1) = q$. Clearly, $q \neq 0$. (Why?) Observe that

$$q = \phi(1) = \phi(1 \cdot 1) = \phi(1) \phi(1) = q^2.$$

Similarly,

$$q = \phi(1) = \phi(1 \cdot 1 \cdot 1) = \phi(1) \phi(1) \phi(1) = q^3.$$

And so on. It follows that $q^n = q$ for every $n \geq 1$. Thus, $q = 1$.

Corollary

$\sqrt{2}$ is irrational.

The Galois group of a field extension

The set of all automorphisms of a field form a group under composition.

Definition

Let F be an extension field of \mathbb{Q} . The **Galois group** of F is the group of **automorphisms** of F , denoted $\text{Gal}(F)$.

Here are some examples (without proof):

- The Galois group of $\mathbb{Q}(\sqrt{2})$ is C_2 :

$$\text{Gal}(\mathbb{Q}(\sqrt{2})) = \langle f \rangle \cong C_2, \quad \text{where } f: \sqrt{2} \mapsto -\sqrt{2}$$

- An automorphism of $F = \mathbb{Q}(\sqrt{2}, i)$ is completely determined by where it sends $\sqrt{2}$ and i . There are four possibilities: the identity map e , and

$$\left\{ \begin{array}{l} h(\sqrt{2}) = -\sqrt{2} \\ h(i) = i \end{array} \right. \quad \left\{ \begin{array}{l} v(\sqrt{2}) = \sqrt{2} \\ v(i) = -i \end{array} \right. \quad \left\{ \begin{array}{l} r(\sqrt{2}) = -\sqrt{2} \\ r(i) = -i \end{array} \right.$$

Thus, the Galois group of F is $\text{Gal}(\mathbb{Q}(\sqrt{2}, i)) = \langle h, v \rangle \cong V_4$.

What do you think the Galois group of $\mathbb{Q}(\zeta, \sqrt[3]{2})$ is?

Summary so far

Roughly speaking, a **field** is a group under both addition and multiplication (if we exclude 0), with the distributive law connecting these two operations.

We are mostly interested in the field \mathbb{Q} , and certain extension fields: $F \supseteq \mathbb{Q}$. Some of the extension fields we've encountered:

$$\mathbb{Q}(\sqrt{2}), \quad \mathbb{Q}(i), \quad \mathbb{Q}(\sqrt{2}, i), \quad \mathbb{Q}(\sqrt{2}, \sqrt{3}), \quad \mathbb{Q}(\zeta, \sqrt[3]{2}).$$

An **automorphism** of a field $F \supset \mathbb{Q}$ is a structure-preserving map that **fixes** \mathbb{Q} .

The set of all automorphisms of $F \supseteq \mathbb{Q}$ forms a group, called the **Galois group** of F , denoted $\text{Gal}(F)$.

There is an intriguing but mysterious connection between **subfields of F** and **subgroups of $\text{Gal}(F)$** . This is at the heart of Galois theory!

Question

How does this all relate to solving polynomials with radicals?

Polynomials

Definition

Let x be an unknown variable. A **polynomial** is a function

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_2 x^2 + a_1 x + a_0.$$

The highest non-zero power of n is called the **degree** of f .

We can assume that all of our coefficients a_i lie in a field F .

For example, if each $a_i \in \mathbb{Z}$ (not a field), we could alternatively say that $a_i \in \mathbb{Q}$.

Let $F[x]$ denote the set of polynomials with coefficients in F . We call this the set of **polynomials over F** .

Remark

Even though \mathbb{Z} is not a field, we can still write $\mathbb{Z}[x]$ to be the set of polynomials with integer coefficients. Most polynomials we encounter have integer coefficients anyways.

Radicals

The roots of low-degree polynomials can be expressed using **arithmetic** and **radicals**.

For example, the roots of the polynomial $f(x) = 5x^4 - 18x^2 - 27$ are

$$x_{1,2} = \pm \sqrt{\frac{6\sqrt{6} + 9}{5}}, \quad x_{3,4} = \pm \sqrt{\frac{9 - 6\sqrt{6}}{5}}.$$

Remark

The operations of **arithmetic**, and **radicals**, are really the “only way” we have to write down generic complex numbers.

Thus, if there is some number that cannot be expressed using radicals, we have no way to express it, unless we invent a special symbol for it (e.g., π or e).

Even weirder, since a computer program is just a string of 0s and 1s, there are only countably infinite many possible programs.

Since \mathbb{R} is an uncountable set, there are numbers (in fact, “almost all” numbers) that can *never* be expressed algorithmically by a computer program! Such numbers are called “uncomputable.”

Algebraic numbers

Definition

A complex number is **algebraic** (over \mathbb{Q}) if it is the root of some polynomial in $\mathbb{Z}[x]$. The set \mathbb{A} of all algebraic numbers forms a field (this is not immediately obvious).

A number that is not algebraic over \mathbb{Q} (e.g., π , e , φ) is called **transcendental**.

Every number that can be expressed from the natural numbers using arithmetic and radicals is algebraic. For example, consider

$$\begin{aligned}x &= \sqrt[5]{1 + \sqrt{-3}} && \iff x^5 = 1 + \sqrt{-3} \\ & && \iff x^5 - 1 = \sqrt{-3} \\ & && \iff (x^5 - 1)^2 = -3 \\ & && \iff x^{10} - 2x^5 + 4 = 0.\end{aligned}$$

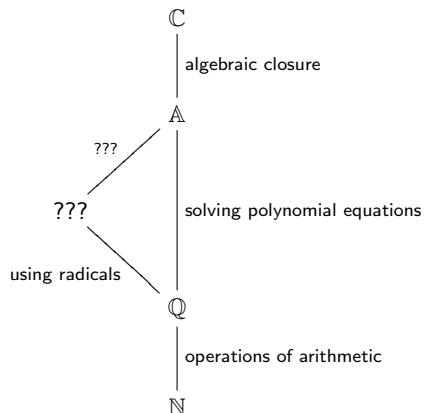
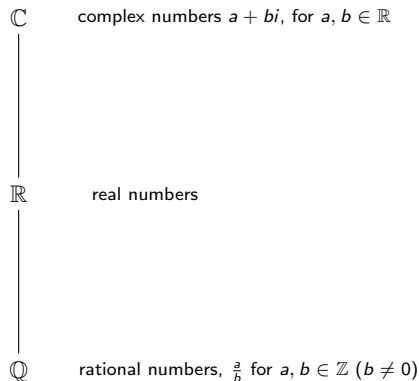
Question

Can *all* algebraic numbers be expressed using radicals?

This question was unsolved until the early 1800s.

Hasse diagrams

The relationship between the natural numbers \mathbb{N} , and the fields \mathbb{Q} , \mathbb{R} , \mathbb{A} , and \mathbb{C} , is shown in the following Hasse diagrams.



Some basic facts about the complex numbers

Definition

A field F is **algebraically closed** if for any polynomial $f(x) \in F[x]$, all of the roots of $f(x)$ lie in F .

Non-examples

- \mathbb{Q} is not algebraically closed because $f(x) = x^2 - 2 \in \mathbb{Q}[x]$ has a root $\sqrt{2} \notin \mathbb{Q}$.
- \mathbb{R} is not algebraically closed because $f(x) = x^2 + 1 \in \mathbb{R}[x]$ has a root $\sqrt{-1} \notin \mathbb{R}$.

Fundamental theorem of algebra

The field \mathbb{C} is algebraically closed.

Thus, every polynomial $f(x) \in \mathbb{Z}[x]$ completely factors, or **splits** over \mathbb{C} :

$$f(x) = (x - r_1)(x - r_2) \cdots (x - r_n), \quad r_i \in \mathbb{C}.$$

Conversely, if F is *not* algebraically closed, then there are polynomials $f(x) \in F[x]$ that do *not* split into linear factors over F .

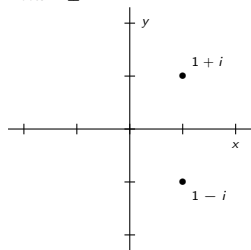
Complex conjugates

Recall that complex roots of $f(x) \in \mathbb{C}[x]$ come in **conjugate pairs**: If $r = a + bi$ is a root, then so is $\bar{r} = a - bi$.

For example, here are the roots of some polynomials (degrees 2 through 5) plotted in the complex plane. All of them exhibit symmetry across the x-axis.

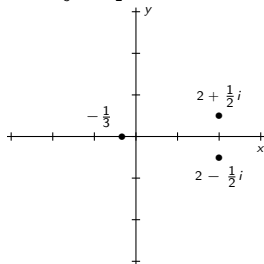
$$f(x) = x^2 - 2x + 2$$

Roots: $1 \pm i$



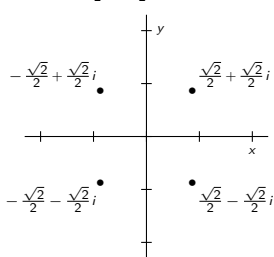
$$f(x) = 12x^3 - 44x^2 + 35x + 17$$

Roots: $-\frac{1}{3}, 2 \pm \frac{1}{2}i$



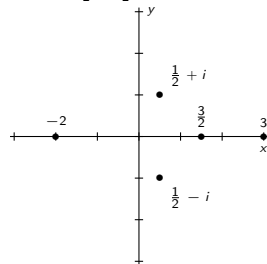
$$f(x) = x^4 + 1$$

Roots: $\pm \frac{\sqrt{2}}{2} \pm \frac{\sqrt{2}}{2}i$



$$f(x) = 8x^5 - 28x^4 - 6x^3 + 83x^2 - 117x + 90$$

Roots: $-2, \frac{3}{2}, 3, \frac{1}{2}i \pm i$



Irreducibility

Definition

A polynomial $f(x) \in F[x]$ is **reducible over F** if we can factor it as $f(x) = g(x)h(x)$ for some $g(x), h(x) \in F[x]$ of strictly lower degree. If $f(x)$ is not reducible, we say it is **irreducible over F** .

Examples

- $x^2 - x - 6 = (x + 2)(x - 3)$ is reducible over \mathbb{Q} .
- $x^4 + 5x^2 + 4 = (x^2 + 1)(x^2 + 4)$ is reducible over \mathbb{Q} , but it has no roots in \mathbb{Q} .
- $x^3 - 2$ is irreducible over \mathbb{Q} . If we could factor it, then one of the factors would have degree 1. But $x^3 - 2$ has no roots in \mathbb{Q} .

Facts

- If $\deg(f) > 1$ and has a root in F , then it is reducible over F .
- Every polynomial in $\mathbb{Z}[x]$ is reducible over \mathbb{C} .
- If $f(x) \in F[x]$ is a degree-2 or 3 polynomial, then $f(x)$ is reducible over F if and only if $f(x)$ has a root in F .

Eisenstein's criterion for irreducibility

Lemma

Let $f \in \mathbb{Z}[x]$ be irreducible. Then f is also irreducible over \mathbb{Q} .

Equivalently, if $f \in \mathbb{Z}[x]$ factors over \mathbb{Q} , then it factors over \mathbb{Z} .

Theorem (Eisenstein's criterion)

A polynomial $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$ is **irreducible** if for some prime p , the following all hold:

1. $p \nmid a_n$;
2. $p \mid a_k$ for $k = 0, \dots, n-1$;
3. $p^2 \nmid a_0$.

For example, Eisenstein's criterion tells us that $x^{10} + 4x^7 + 18x + 14$ is irreducible.

Remark

If Eisenstein's criterion fails for all primes p , that does *not* necessarily imply that f is reducible. For example, $f(x) = x^2 + x + 1$ is irreducible over \mathbb{Q} , but Eisenstein cannot detect this.

Extension fields as vector spaces

Recall that a **vector space** over \mathbb{Q} is a set of vectors V such that

- If $u, v \in V$, then $u + v \in V$ (closed under addition)
- If $v \in V$, then $cv \in V$ for all $c \in \mathbb{Q}$ (closed under scalar multiplication).

The field $\mathbb{Q}(\sqrt{2})$ is a 2-dimensional **vector space** over \mathbb{Q} :

$$\mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}.$$

This is why we say that $\{1, \sqrt{2}\}$ is a **basis** for $\mathbb{Q}(\sqrt{2})$ over \mathbb{Q} .

Notice that the other field extensions we've seen are also vector spaces over \mathbb{Q} :

$$\mathbb{Q}(\sqrt{2}, i) = \{a + b\sqrt{2} + ci + d\sqrt{2}i : a, b, c, d \in \mathbb{Q}\},$$

$$\mathbb{Q}(\zeta, \sqrt[3]{2}) = \{a + b\sqrt[3]{2} + c\sqrt[3]{4} + d\zeta + e\zeta\sqrt[3]{2} + f\zeta\sqrt[3]{4} : a, b, c, d, e, f \in \mathbb{Q}\}.$$

As \mathbb{Q} -vector spaces, $\mathbb{Q}(\sqrt{2}, i)$ has dimension 4, and $\mathbb{Q}(\zeta, \sqrt[3]{2})$ has dimension 6.

Definition

If $F \subseteq E$ are fields, then the **degree** of the extension, denoted $[E : F]$, is the **dimension** of E as a vector space over F .

Equivalently, this is the number of terms in the expression for a general element for E using coefficients from F .

Minimal polynomials

Definition

Let $r \notin F$ be algebraic. The **minimal polynomial** of r over F is the irreducible polynomial in $F[x]$ of which r is a root. It is unique up to scalar multiplication.

Examples

- $\sqrt{2}$ has minimal polynomial $x^2 - 2$ over \mathbb{Q} , and $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$.
- $i = \sqrt{-1}$ has minimal polynomial $x^2 + 1$ over \mathbb{Q} , and $[\mathbb{Q}(i) : \mathbb{Q}] = 2$.
- $\zeta = e^{2\pi i/3}$ has minimal polynomial $x^2 + x + 1$ over \mathbb{Q} , and $[\mathbb{Q}(\zeta) : \mathbb{Q}] = 2$.
- $\sqrt[3]{2}$ has minimal polynomial $x^3 - 2$ over \mathbb{Q} , and $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$.

What are the minimal polynomials of the following numbers over \mathbb{Q} ?

$$-\sqrt{2}, \quad -i, \quad \zeta^2, \quad \zeta\sqrt[3]{2}, \quad \zeta^2\sqrt[3]{2}.$$

Degree theorem

The **degree of the extension** $\mathbb{Q}(r)$ is the **degree of the minimal polynomial** of r .

The Galois group of a polynomial

Definition

Let $f \in \mathbb{Z}[x]$ be a polynomial, with roots r_1, \dots, r_n . The **splitting field** of f is the field

$$\mathbb{Q}(r_1, \dots, r_n).$$

The splitting field F of $f(x)$ has several equivalent characterizations:

- the smallest field that contains all of the roots of $f(x)$;
- the smallest field in which $f(x)$ **splits** into linear factors:

$$f(x) = (x - r_1)(x - r_2) \cdots (x - r_n) \in F[x].$$

Recall that the **Galois group** of an extension $F \supseteq \mathbb{Q}$ is the group of **automorphisms** of F , denoted $\text{Gal}(F)$.

Definition

The **Galois group** of a **polynomial** $f(x)$ is the Galois group of its **splitting field**, denoted $\text{Gal}(f(x))$.

A few examples of Galois groups

- The polynomial $x^2 - 2$ splits in $\mathbb{Q}(\sqrt{2})$, so

$$\text{Gal}(x^2 - 2) = \text{Gal}(\mathbb{Q}(\sqrt{2})) \cong C_2.$$

- The polynomial $x^2 + 1$ splits in $\mathbb{Q}(i)$, so

$$\text{Gal}(x^2 + 1) = \text{Gal}(\mathbb{Q}(i)) \cong C_2.$$

- The polynomial $x^2 + x + 1$ splits in $\mathbb{Q}(\zeta)$, where $\zeta = e^{2\pi i/3}$, so

$$\text{Gal}(x^2 + x + 1) = \text{Gal}(\mathbb{Q}(\zeta)) \cong C_2.$$

- The polynomial $x^3 - 1 = (x - 1)(x^2 + x + 1)$ also splits in $\mathbb{Q}(\zeta)$, so

$$\text{Gal}(x^3 - 1) = \text{Gal}(\mathbb{Q}(\zeta)) \cong C_2.$$

- The polynomial $x^4 - x^2 - 2 = (x^2 - 2)(x^2 + 1)$ splits in $\mathbb{Q}(\sqrt{2}, i)$, so

$$\text{Gal}(x^4 - x^2 - 2) = \text{Gal}(\mathbb{Q}(\sqrt{2}, i)) \cong V_4.$$

- The polynomial $x^4 - 5x^2 + 6 = (x^2 - 2)(x^2 - 3)$ splits in $\mathbb{Q}(\sqrt{2}, \sqrt{3})$, so

$$\text{Gal}(x^4 - 5x^2 + 6) = \text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3})) \cong V_4.$$

- The polynomial $x^3 - 2$ splits in $\mathbb{Q}(\zeta, \sqrt[3]{2})$, so

$$\text{Gal}(x^3 - 2) = \text{Gal}(\mathbb{Q}(\zeta, \sqrt[3]{2})) \cong D_3 ???$$

The tower law of field extensions

Recall that if we had a chain of subgroups $K \leq H \leq G$, then the **index** satisfies a tower law: $[G : K] = [G : H][H : K]$.

Not surprisingly, the **degree** of field extensions obeys a similar tower law:

Theorem (Tower law)

For any chain of field extensions, $F \subset E \subset K$,

$$[K : F] = [K : E][E : F].$$

We have already observed this in our subfield lattices:

$$[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = \underbrace{[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}(\sqrt{2})]}_{\text{min. poly: } x^2-3} \underbrace{[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}]}_{\text{min. poly: } x^2-2} = 2 \cdot 2 = 4.$$

Here is another example:

$$[\mathbb{Q}(\zeta, \sqrt[3]{2}) : \mathbb{Q}] = \underbrace{[\mathbb{Q}(\zeta, \sqrt[3]{2}) : \mathbb{Q}(\sqrt[3]{2})]}_{\text{min. poly: } x^2+x+1} \underbrace{[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}]}_{\text{min. poly: } x^3-2} = 2 \cdot 3 = 6.$$

Primitive elements

Primitive element theorem

If F is an extension of \mathbb{Q} with $[F : \mathbb{Q}] < \infty$, then F has a **primitive element**: some $\alpha \notin \mathbb{Q}$ for which $F = \mathbb{Q}(\alpha)$.

How do we find a primitive element α of $F = \mathbb{Q}(\zeta, \sqrt[3]{2}) = \mathbb{Q}(i\sqrt{3}, \sqrt[3]{2})$?

Let's try $\alpha = i\sqrt{3}\sqrt[3]{2} \in F$. Clearly, $[\mathbb{Q}(\alpha) : \mathbb{Q}] \leq 6$. Observe that

$$\alpha^2 = -3\sqrt[3]{4}, \quad \alpha^3 = -6i\sqrt{3}, \quad \alpha^4 = -18\sqrt[3]{2}, \quad \alpha^5 = 18i\sqrt[3]{4}\sqrt{3}, \quad \alpha^6 = -108.$$

Thus, α is a root of $x^6 + 108$. The following are equivalent (why?):

- (i) α is a **primitive element** of F ;
- (ii) $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 6$;
- (iii) the **minimal polynomial** $m(x)$ of α has degree 6;
- (iv) $x^6 + 108$ is **irreducible** (and hence must be $m(x)$).

In fact, $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 6$ holds because both 2 and 3 divide $[\mathbb{Q}(\alpha) : \mathbb{Q}]$:

$$[\mathbb{Q}(\alpha) : \mathbb{Q}] = [\mathbb{Q}(\alpha) : \mathbb{Q}(i\sqrt{3})] \underbrace{[\mathbb{Q}(i\sqrt{3}) : \mathbb{Q}]}_{=2}, \quad [\mathbb{Q}(\alpha) : \mathbb{Q}] = [\mathbb{Q}(\alpha) : \mathbb{Q}(\sqrt[3]{2})] \underbrace{[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}]}_{=3}.$$

An example: The Galois group of $x^4 - 5x^2 + 6$

The polynomial $f(x) = (x^2 - 2)(x^2 - 3) = x^4 - 5x^2 + 6$ has splitting field $\mathbb{Q}(\sqrt{2}, \sqrt{3})$.

We already know that its Galois group should be V_4 . Let's compute it explicitly; this will help us understand it better.

We need to determine all automorphisms ϕ of $\mathbb{Q}(\sqrt{2}, \sqrt{3})$. We know:

- ϕ is determined by where it sends the basis elements $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$.
- ϕ must fix 1.
- If we know where ϕ sends two of $\{\sqrt{2}, \sqrt{3}, \sqrt{6}\}$, then we know where it sends the third, because

$$\phi(\sqrt{6}) = \phi(\sqrt{2}\sqrt{3}) = \phi(\sqrt{2})\phi(\sqrt{3}).$$

In addition to the identity automorphism e , we have

$$\left\{ \begin{array}{l} \phi_2(\sqrt{2}) = -\sqrt{2} \\ \phi_2(\sqrt{3}) = \sqrt{3} \end{array} \right\} \quad \left\{ \begin{array}{l} \phi_3(\sqrt{2}) = \sqrt{2} \\ \phi_3(\sqrt{3}) = -\sqrt{3} \end{array} \right\} \quad \left\{ \begin{array}{l} \phi_4(\sqrt{2}) = -\sqrt{2} \\ \phi_4(\sqrt{3}) = -\sqrt{3} \end{array} \right\}$$

Question

What goes wrong if we try to make $\phi(\sqrt{2}) = \sqrt{3}$? (Try it!)

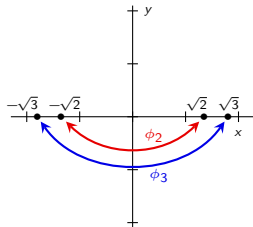
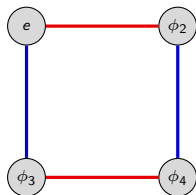
An example: The Galois group of $x^4 - 5x^2 + 6$

There are 4 automorphisms of $F = \mathbb{Q}(\sqrt{2}, \sqrt{3})$, the splitting field of $x^4 - 5x^2 + 6$:

$$\begin{aligned} e: a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} &\mapsto a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \\ \phi_2: a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} &\mapsto a - b\sqrt{2} + c\sqrt{3} - d\sqrt{6} \\ \phi_3: a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} &\mapsto a + b\sqrt{2} - c\sqrt{3} - d\sqrt{6} \\ \phi_4: a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} &\mapsto a - b\sqrt{2} - c\sqrt{3} + d\sqrt{6} \end{aligned}$$

They form the **Galois group** of $x^4 - 5x^2 + 6$. The multiplication table and Cayley diagram are shown below.

	e	ϕ_2	ϕ_3	ϕ_4
e	e	ϕ_2	ϕ_3	ϕ_4
ϕ_2	ϕ_2	e	ϕ_4	ϕ_3
ϕ_3	ϕ_3	ϕ_4	e	ϕ_2
ϕ_4	ϕ_4	ϕ_3	ϕ_2	e



Exercise

Show that $\alpha = \sqrt{2} + \sqrt{3}$ is a **primitive element** of F , i.e., $\mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$.

The Galois group acts on the roots

Theorem

If $f \in \mathbb{Z}[x]$ is a polynomial with a root in a field extension F of \mathbb{Q} , then any automorphism of F **permutes** the roots of f .

Said differently, we have a **group action** of $\text{Gal}(f(x))$ on the set $S = \{r_1, \dots, r_n\}$ of roots of $f(x)$.

That is, we have a homomorphism

$$\psi: \text{Gal}(f(x)) \longrightarrow \text{Perm}(\{r_1, \dots, r_n\}).$$

If $\phi \in \text{Gal}(f(x))$, then $\psi(\phi)$ is a **permutation** of the roots of $f(x)$.

This permutation is what results by “pressing the ϕ -button” – it permutes the roots of $f(x)$ via the automorphism ϕ of the splitting field of $f(x)$.

Corollary

If the degree of $f \in \mathbb{Z}[x]$ is n , then the Galois group of f is a **subgroup of S_n** .

The Galois group acts on the roots

The next results says that “ \mathbb{Q} can't tell apart the roots of an irreducible polynomial.”

The “One orbit theorem”

Let r_1 and r_2 be roots of an irreducible polynomial over \mathbb{Q} . Then

- (a) There is an isomorphism $\phi: \mathbb{Q}(r_1) \rightarrow \mathbb{Q}(r_2)$ that fixes \mathbb{Q} and with $\phi(r_1) = r_2$.
- (b) This remains true when \mathbb{Q} is replaced with any extension field F , where $\mathbb{Q} \subset F \subset \mathbb{C}$.

Corollary

If $f(x)$ is irreducible over \mathbb{Q} , then for any two roots r_1 and r_2 of $f(x)$, the Galois group $\text{Gal}(f(x))$ contains an automorphism $\phi: r_1 \mapsto r_2$.

In other words, if $f(x)$ is irreducible, then the action of $\text{Gal}(f(x))$ on the set $S = \{r_1, \dots, r_n\}$ of roots has **only one orbit**.

Normal field extensions

Definition

An extension field E of F is **normal** if it is the splitting field of some polynomial $f(x)$.

If E is a normal extension over F , then every irreducible polynomial over $F[x]$ that has a root in E **splits** over F .

Thus, if you can find an irreducible polynomial that has one root, but not all of its roots in E , then E is *not* a normal extension.

Normal extension theorem

The degree of a normal extension is the order of its Galois group.

Corollary

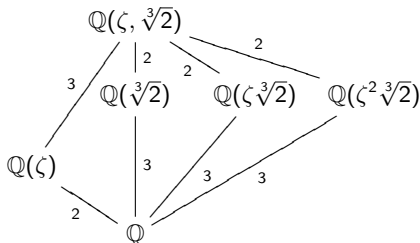
The **order of the Galois group** of a polynomial $f(x)$ is the **degree of the extension of its splitting field** over \mathbb{Q} .

Normal field extensions: Examples

Consider $\mathbb{Q}(\zeta, \sqrt[3]{2}) = \mathbb{Q}(\alpha)$, the splitting field of $f(x) = x^3 - 2$.

It is also the splitting field of $m(x) = x^6 + 108$, the minimal polynomial of $\alpha = \sqrt[3]{2}\sqrt{-3}$.

Let's see which of its intermediate subfields are normal extensions of \mathbb{Q} .



- \mathbb{Q} : Trivially **normal**.
- $\mathbb{Q}(\zeta)$: Splitting field of $x^2 + x + 1$; roots are $\zeta, \zeta^2 \in \mathbb{Q}(\zeta)$. **Normal**.
- $\mathbb{Q}(\sqrt[3]{2})$: Contains only one root of $x^3 - 2$, not the other two. **Not normal**.
- $\mathbb{Q}(\zeta\sqrt[3]{2})$: Contains only one root of $x^3 - 2$, not the other two. **Not normal**.
- $\mathbb{Q}(\zeta^2\sqrt[3]{2})$: Contains only one root of $x^3 - 2$, not the other two. **Not normal**.
- $\mathbb{Q}(\zeta, \sqrt[3]{2})$: Splitting field of $x^3 - 2$. **Normal**.

By the normal extension theorem,

$$|\text{Gal}(\mathbb{Q}(\zeta))| = [\mathbb{Q}(\zeta) : \mathbb{Q}] = 2, \quad |\text{Gal}(\mathbb{Q}(\zeta, \sqrt[3]{2}))| = [\mathbb{Q}(\zeta, \sqrt[3]{2}) : \mathbb{Q}] = 6.$$

Moreover, you can check that $|\text{Gal}(\mathbb{Q}(\sqrt[3]{2}))| = 1 < [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$.

The Galois group of $x^3 - 2$

We can now conclusively determine the Galois group of $x^3 - 2$.

By definition, the Galois group of a polynomial is the Galois group of its splitting field, so $\text{Gal}(x^3 - 2) = \text{Gal}(\mathbb{Q}(\zeta, \sqrt[3]{2}))$.

By the normal extension theorem, the order of the Galois group of $f(x)$ is the degree of the extension of its splitting field:

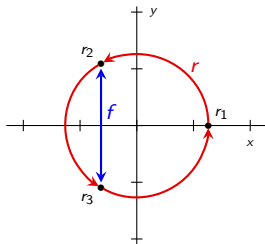
$$|\text{Gal}(\mathbb{Q}(\zeta, \sqrt[3]{2}))| = [\mathbb{Q}(\zeta, \sqrt[3]{2}) : \mathbb{Q}] = 6.$$

Since the Galois group acts on the roots of $x^3 - 2$, it must be a subgroup of $S_3 \cong D_3$.

There is only one subgroup of S_3 of order 6, so $\text{Gal}(x^3 - 2) \cong S_3$. Here is the action diagram of $\text{Gal}(x^3 - 2)$ acting on the set $S = \{r_1, r_2, r_3\}$ of roots of $x^3 - 2$:

$$\begin{cases} r: \sqrt[3]{2} \mapsto \zeta \sqrt[3]{2} \\ r: \zeta \mapsto \zeta \end{cases}$$

$$\begin{cases} f: \sqrt[3]{2} \mapsto \sqrt[3]{2} \\ f: \zeta \mapsto \zeta^2 \end{cases}$$



Paris, May 31, 1832

The night before a duel that Évariste Galois knew he would lose, the 20-year-old stayed up late preparing his mathematical findings in a letter to Auguste Chevalier.

Hermann Weyl (1885–1955) said “*This letter, if judged by the novelty and profundity of ideas it contains, is perhaps the most substantial piece of writing in the whole literature of mankind.*”

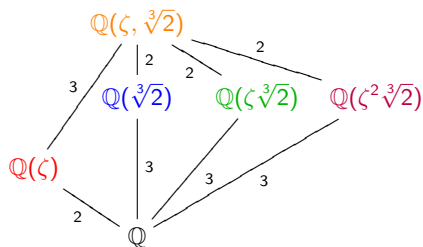


Fundamental theorem of Galois theory

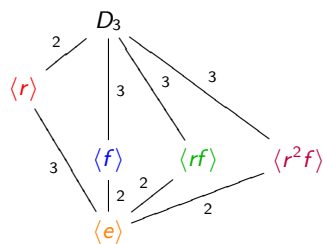
Given $f \in \mathbb{Z}[x]$, let F be the splitting field of f , and G the Galois group. Then the following hold:

- (a) The **subgroup lattice** of G is identical to the **subfield lattice** of F , but **upside-down**. Moreover, $H \triangleleft G$ if and only if the corresponding subfield is a normal extension of \mathbb{Q} .
- (b) Given an intermediate field $\mathbb{Q} \subset K \subset F$, the corresponding subgroup $H < G$ contains **precisely those automorphisms that fix K** .

An example: the Galois correspondence for $f(x) = x^3 - 2$



Subfield lattice of $\mathbb{Q}(\zeta, \sqrt[3]{2})$



Subgroup lattice of $\text{Gal}(\mathbb{Q}(\zeta, \sqrt[3]{2})) \cong D_3$.

- The automorphisms that fix \mathbb{Q} are precisely those in D_3 .
- The automorphisms that fix $\mathbb{Q}(\zeta)$ are precisely those in $\langle r \rangle$.
- The automorphisms that fix $\mathbb{Q}(\sqrt[3]{2})$ are precisely those in $\langle f \rangle$.
- The automorphisms that fix $\mathbb{Q}(\zeta\sqrt[3]{2})$ are precisely those in $\langle rf \rangle$.
- The automorphisms that fix $\mathbb{Q}(\zeta^2\sqrt[3]{2})$ are precisely those in $\langle r^2f \rangle$.
- The automorphisms that fix $\mathbb{Q}(\zeta, \sqrt[3]{2})$ are precisely those in $\langle e \rangle$.

The normal field extensions of \mathbb{Q} are: \mathbb{Q} , $\mathbb{Q}(\zeta)$, and $\mathbb{Q}(\zeta, \sqrt[3]{2})$.

The normal subgroups of D_3 are: D_3 , $\langle r \rangle$ and $\langle e \rangle$.

Solvability

Definition

A group G is **solvable** if it has a chain of subgroups:

$$\{e\} = N_0 \triangleleft N_1 \triangleleft N_2 \triangleleft \cdots \triangleleft N_{k-1} \triangleleft N_k = G.$$

such that each quotient N_i/N_{i-1} is **abelian**.

Note: Each subgroup N_i need not be normal in G , just in N_{i+1} .

Examples

- $D_4 = \langle r, f \rangle$ is solvable. There are many possible chains:

$$\langle e \rangle \triangleleft \langle f \rangle \triangleleft \langle r^2, f \rangle \triangleleft D_4, \quad \langle e \rangle \triangleleft \langle r \rangle \triangleleft D_4, \quad \langle e \rangle \triangleleft \langle r^2 \rangle \triangleleft D_4.$$

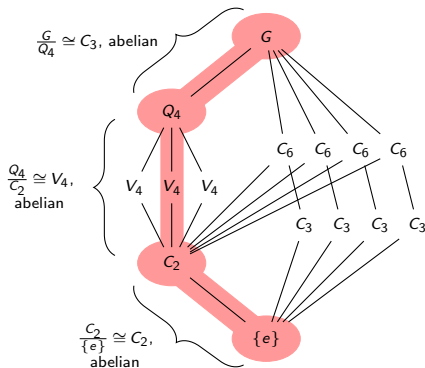
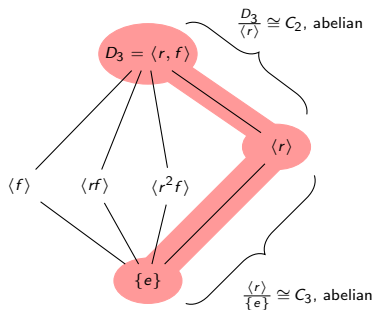
- Any abelian group A is solvable: take $N_0 = \{e\}$ and $N_1 = A$.
- For $n \geq 5$, the group A_n is **simple** and **non-abelian**. Thus, the only chain of normal subgroups is

$$N_0 = \{e\} \triangleleft A_n = N_1.$$

Since $N_1/N_0 \cong A_n$ is non-abelian, A_n is not solvable for $n \geq 5$.

Some more solvable groups

$D_3 \cong S_3$ is solvable: $\{e\} \triangleleft \langle r \rangle \triangleleft D_3$.



The group above at right has order 24, and is the smallest solvable group that requires a three-step chain of normal subgroups.

The hunt for an unsolvable polynomial

The following lemma follows from the Correspondence Theorem. (Why?)

Lemma

If $N \triangleleft G$, then G is solvable if and only if both N and G/N are solvable.

Corollary

S_n is not solvable for all $n \geq 5$. (Since $A_n \triangleleft S_n$ is not solvable).

Galois' theorem

A field extension $E \supseteq \mathbb{Q}$ contains only elements **expressible by radicals** if and only if its **Galois group is solvable**.

Corollary

If $f(x)$ is **solvable by radicals**, then it has a **solvable Galois group**.

Thus, any polynomial with Galois group S_5 is not solvable by radicals!

An unsolvable quintic!

To find a polynomial not solvable by radicals, we'll look for a polynomial $f(x)$ with $\text{Gal}(f(x)) \cong S_5$.

We'll restrict our search to degree-5 polynomials, because $\text{Gal}(f(x)) \leq S_5$ for any degree-5 polynomial $f(x)$.

Key observation

Recall that for any 5-cycle σ and 2-cycle (=transposition) τ ,

$$S_5 = \langle \sigma, \tau \rangle.$$

Moreover, the *only* elements in S_5 of order 5 are 5-cycles, e.g., $\sigma = (a b c d e)$.

Let $f(x) = x^5 + 10x^4 - 2$. It is irreducible by Eisenstein's criterion (use $p = 2$). Let $F = \mathbb{Q}(r_1, \dots, r_5)$ be its splitting field.

Basic calculus tells us that f exactly has **3 real roots**. Let $r_1, r_2 = a \pm bi$ be the complex roots, and r_3, r_4 , and r_5 be the real roots.

Since f has distinct complex conjugate roots, **complex conjugation** is an automorphism $\tau: F \rightarrow F$ that transposes r_1 with r_2 , and fixes the three real roots.

An unsolvable quintic!

We just found our transposition $\tau = (r_1 r_2)$. All that's left is to find an element (i.e., an automorphism) σ of order 5.

Take any root r_i of $f(x)$. Since $f(x)$ is irreducible, it is the minimal polynomial of r_i . By the Degree Theorem,

$$[\mathbb{Q}(r_i) : \mathbb{Q}] = \deg(\text{minimum polynomial of } r_i) = \deg f(x) = 5.$$

The splitting field of $f(x)$ is $F = \mathbb{Q}(r_1, \dots, r_5)$, and by the normal extension theorem, the degree of this extension over \mathbb{Q} is the order of the Galois group $\text{Gal}(f(x))$.

Applying the **tower law** to this yields

$$|\text{Gal}(f(x))| = [\mathbb{Q}(r_1, r_2, r_3, r_4, r_5) : \mathbb{Q}] = [\mathbb{Q}(r_1, r_2, r_3, r_4, r_5) : \mathbb{Q}(r_1)] \underbrace{[\mathbb{Q}(r_1) : \mathbb{Q}]}_{=5}$$

Thus, $|\text{Gal}(f(x))|$ is a multiple of 5, so **Cauchy's theorem** guarantees that G has an element σ of order 5.

Since $\text{Gal}(f(x))$ has a 2-cycle τ and a 5-cycle σ , it must be all of S_5 .

$\text{Gal}(f(x))$ is an unsolvable group, so $f(x) = x^5 + 10x^4 - 2$ is unsolvable by radicals!

Summary of Galois' work

Let $f(x)$ be a degree- n polynomial in $\mathbb{Z}[x]$ (or $\mathbb{Q}[x]$). The roots of $f(x)$ lie in some **splitting field** $F \supseteq \mathbb{Q}$.

The **Galois group** of $f(x)$ is the automorphism group of F . Every such automorphism fixes \mathbb{Q} and **permutes the roots of $f(x)$** .

This is a **group action** of $\text{Gal}(f(x))$ on the set of n **roots**! Thus, $\text{Gal}(f(x)) \leq S_n$.

There is a 1-1 correspondence between **subfields of F** and **subgroups of $\text{Gal}(f(x))$** .

A polynomial is **solvable by radicals** iff its Galois group is a **solvable group**.

The symmetric group S_5 is not a solvable group.

Since $S_5 = \langle \tau, \sigma \rangle$ for a 2-cycle τ and 5-cycle σ , all we need to do is find a degree-5 polynomial whose Galois group contains a 2-cycle and an element of order 5.

If $f(x)$ is an irreducible degree-5 polynomial with 3 real roots, then complex conjugation is an automorphism that transposes the 2 complex roots. Moreover, Cauchy's theorem tells us that $\text{Gal}(f(x))$ must have an element of order 5.

Thus, $f(x) = x^5 + 10x^4 - 2$ is not solvable by radicals!